



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 66797

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

AT&T Corp.

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

One AT&T Way
Bedminister, NJ 07921-0752
United States

C. Telephone:

312-364-6275

Fax:

D. Name of contact person:

Dominic Savone

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

TELECOMMUNICATION SERVICE: VOICE AND DATA NETWORK SERVICE

Which City agency or department is requesting this EDS?

DEPT OF PROCUREMENT SERVICES

Specification Number

37989

Contract (PO) Number

19393

Revision Number

Release Number

User Department Project Number

93492

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Publicly registered business corporation

Is the Disclosing Party incorporated or organized in the State of Illinois?

No

State or foreign country of incorporation or organization:

New York

Registered to do business in the State of Illinois as a foreign entity?

Yes

B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

Yes

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officer/Director:	James F. Dionne
Title:	Assistant Vice President - Accounting
Role:	Officer

Officer/Director:	George B. Goeke
Title:	Chief Financial Officer and Treasurer
Role:	Officer

Officer/Director:	Andrew M. Geisse
Title:	President and Chief Executive Officer
Role:	Officer

Officer/Director:	Lawrence J. Ruzicka
Title:	Senior Vice President - Tax
Role:	Officer

Officer/Director:	Frank Jules
Title:	President - Global Business Solutions
Role:	Officer

Officer/Director:	Jose M. Gutierrez
Title:	Executive Vice President - Wholesale Solutions
Role:	Officer

Officer/Director:	Cathy Martine-Dolecki
Title:	President - Enterprise Business Solutions
Role:	Officer

Officer/Director:	Kevin Robert Peters
Title:	Executive Vice President - Global Customer Service
Role:	Officer

Officer/Director:	Stephen A. McGaw
Title:	Chief Marketing Officer - Business Solutions
Role:	Officer

Officer/Director:	Ernie Carey
Title:	Senior Vice President - Construction and Engineering

Role:	Officer

Officer/Director:	Gerry R. Chicoine
Title:	Senior Vice President - Audit Services and Chief Compliance Officer
Role:	Officer

Officer/Director:	Louis N. Delery
Title:	Senior Vice President - Small Business Marketing
Role:	Officer

Officer/Director:	Jerrie Kertz
Title:	Senior Vice President - Network Planning amd Support
Role:	Officer

Officer/Director:	Rick Felts
Title:	Senior Vice President - Information Technology Operations
Role:	Officer

Officer/Director:	Thomas Harvey
Title:	Senior Vice President - Service Assurance
Role:	Officer

Officer/Director:	Richard Hubbard
Title:	Senior Vice President - Business Product Management
Role:	Officer

Officer/Director:	William Hogg
Title:	Senior Vice President - Global Customer Service
Role:	Officer

Officer/Director:	William C. Huber
Title:	Senior Vice President - Federal Government Customer Service
Role:	Officer

Officer/Director:	Timothy P. Leahy
Title:	Senior Vice President and General Counsel
Role:	Officer

Officer/Director:	Gary M. Ludgood
Title:	Senior Vice President - Global Network Field Operations

Role:	Officer
Officer/Director:	Rick L. Moore
Title:	Senior Vice President - Corporate Development
Role:	Officer
Officer/Director:	John E. Monday
Title:	Vice President - Program Office/M&P
Role:	Officer
Officer/Director:	J. Mark Schleyer
Title:	Senior Vice President - Corporate Real Estate
Role:	Officer
Officer/Director:	Aaron G. Slator
Title:	Senior Vice President - AT&T AdWorks
Role:	Officer
Officer/Director:	Randy Tomlin
Title:	Senior Vice President - Service Delivery and Assurance
Role:	Officer
Officer/Director:	Paul M. Wilson
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Xavier D. Williams
Title:	Senior Vice President - Service Management
Role:	Officer
Officer/Director:	Dave Albano
Title:	Vice President - Premier Client Group - Southeast
Role:	Officer
Officer/Director:	Kay Kapoor
Title:	President - AT&T Government Solutions
Role:	Officer
Officer/Director:	Corey Anthony
Title:	Senior Vice President - Network Infrastructure and Business Services
Role:	Officer

Officer/Director:	Jim Bugel
Title:	Vice President - Public Sector
Role:	Officer
Officer/Director:	Abhi Ingle
Title:	Senior Vice President - Advanced Solutions
Role:	Officer
Officer/Director:	Kevin Leonard
Title:	Vice President - Alternate Channels
Role:	Officer
Officer/Director:	Ken C. Ray
Title:	Vice President - Big Data and Business Model Innovation
Role:	Officer
Officer/Director:	Richard G. Dennis
Title:	Vice President and Assistant Secretary
Role:	Officer
Officer/Director:	Jason C. Porter
Title:	Vice President - Solutions Development and Business Operations
Role:	Officer
Officer/Director:	Randall Porter
Title:	Vice President - Business Development - Emerging Business Markets
Role:	Officer
Officer/Director:	Russ McFadden
Title:	Vice President - Asset Management and Transactions
Role:	Officer
Officer/Director:	Marc Melloy
Title:	Vice President - Business Strategy
Role:	Officer
Officer/Director:	Adrienne Scott
Title:	Vice President - Wholesale Strategy and Business Development
Role:	Officer

Officer/Director:	Matt Hickey
Title:	Vice President - Sales Operations
Role:	Officer

Officer/Director:	Jeffrey Tutnauer
Title:	Vice President - Property Tax, External Tax Policy, and Bedminster Operations
Role:	Officer

Officer/Director:	Eric Weinbrom
Title:	Vice President - Finance
Role:	Officer

Officer/Director:	Chris Smith
Title:	Vice President - Technology - AT&T Govrenment Solutions
Role:	Officer

Officer/Director:	Wayne A. Wirtz
Title:	Secretary
Role:	Officer

Officer/Director:	Marc Kron
Title:	Director Global Trade Policies and Empowered Officer
Role:	Officer

Officer/Director:	Neil Cobb
Title:	Executive Director - Product Marketing
Role:	Officer

Officer/Director:	Michael J. Troiano
Title:	Vice President - Advanced Mobility Solutions
Role:	Officer

Officer/Director:	Carl D. Forbis
Title:	Executive Director - Tax
Role:	Officer

Officer/Director:	Rick Kurth
Title:	Executive Director - Customer Contracts
Role:	Officer

Officer/Director:	Sandy Markiewicz

Title:	Executive Director - Customer Contracts
Role:	Officer

Officer/Director:	Deborah R. Bierbaum
Title:	Assistant Secretary
Role:	Officer

Officer/Director:	Michele M. Blazek
Title:	Assistant Secretary
Role:	Officer

Officer/Director:	Michael R. Dacey
Title:	Assistant Secretary
Role:	Officer

Officer/Director:	Daniel T. Walsh
Title:	Senior Vice President - Global Customer Solutions and Integration
Role:	Officer

Officer/Director:	David M. Eppsteiner
Title:	Assistant Secretary
Role:	Officer

Officer/Director:	Martin Hotchkiss
Title:	Assistant Secretary
Role:	Officer

Officer/Director:	Arthur M. Kirchoffer Jr
Title:	Assistant Treasurer
Role:	Officer

Officer/Director:	Lawrence J. Lafaro
Title:	Assistant Secretary
Role:	Officer

Officer/Director:	Elaine Lou
Title:	Assistant Treasurer
Role:	Officer

Officer/Director:	Michael Kay
Title:	Assistant Vice President - Tax

Role:	Officer
Officer/Director:	Delores McCarty
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Robert Murphy
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Sherri L. Bazan
Title:	Assistant Treasurer
Role:	Officer
Officer/Director:	Joelle J. Phillips
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Richard A. Rocchini
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Richard J. Sinton
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Marilyn S. Spracker
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	James Talbot
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Richard G. Vartain
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Christopher Vrana
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Brad Walter

Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Brooks McCorcle
Title:	President - Emerging Business Markets
Role:	Officer
Officer/Director:	Teresa G. Blizzard
Title:	Director - Tax
Role:	Officer
Officer/Director:	Terry Britt
Title:	Director - Tax
Role:	Officer
Officer/Director:	Jeffrey Chambers
Title:	Director - Tax
Role:	Officer
Officer/Director:	Karen Diorio
Title:	Director - Tax
Role:	Officer
Officer/Director:	Linda A. Fisher
Title:	Director - Tax
Role:	Officer
Officer/Director:	Judith L. Lagarde
Title:	Director - Interconnection Agreements
Role:	Officer
Officer/Director:	Fletcher Ricks
Title:	Director - Tax
Role:	Officer
Officer/Director:	Vivian Swierc
Title:	Director - Tax
Role:	Officer
Officer/Director:	Lee Wheless
Title:	Director - Tax
Role:	Officer

Officer/Director:	Steven Shashack
Title:	Assistant Vice President - Tax
Role:	Officer

Officer/Director:	Stacey W. Roth
Title:	Assistant Treasurer
Role:	Officer

Officer/Director:	Mark Shine
Title:	Senior Vice President and Managing Director - Energy Business Solutions
Role:	Officer

Officer/Director:	Jon Summers
Title:	Senior Vice President - Growth Platforms
Role:	Officer

Officer/Director:	Jeanette Napp
Title:	Assistant Secretary
Role:	Officer

Officer/Director:	John J. O'Connor
Title:	Director
Role:	Director

Officer/Director:	Paul W. Stephens
Title:	Director
Role:	Director

Officer/Director:	Paula Caldwell Glasby
Title:	Vice President - 2020 Program Management
Role:	Officer

Officer/Director:	Stacey A Marx
Title:	Vice President - Business Acquisition Solutions
Role:	Officer

2. Ownership Information

Please provide ownership information concerning each person or entity that holds, or is anticipated to hold (see next paragraph), a direct or indirect beneficial interest in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation,

partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Each legal entity below may be required to submit an EDS on its own behalf.

Please disclose present owners below. Please disclose anticipated owners in an attachment submitted through the "Additional Info" tab. "Anticipated owner" means an individual or entity in existence at the time application for City action is made, which is not an applicant or owner at such time, but which the applicant expects to assume a legal status, within six months of the time the City action occurs, that would render such individual or entity an applicant or owner if they had held such legal status at the time application was made.

- AT&T Inc. - 100.0% - EDS 66799

Owner Details

Name	Business Address
AT&T Inc.	One AT&T Way 208 S. Akard Street Dallas, TX 75202 United States

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in [Chapter 2-156 of the Municipal Code](#), with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained any legal entities in connection with the Matter?

Yes

2. List below the names of all legal entities which are retained parties.

Name: Flowers Communications Group

Anticipated/Retained: Anticipated

Business Address: 303 East Wacker Drive
Suite 1000
chicago, IL 60601 United States

Relationship: Subcontractor - MWDBE

Fees 5%

(\$\$ or %):

Estimated/Paid: Estimated

Name: Computer Resource Solutions

Anticipated/Retained: Anticipated

Business Address: One Pierce Place
Suite 325W
Itasca, IL 60143-2696 United States

Relationship: Subcontractor - MWDBE

Fees 25%

(\$\$ or %):

Estimated/Paid: Estimated

3. Has the Disclosing Party retained any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [Municipal Code Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

No

B. FURTHER CERTIFICATIONS

1. Pursuant to [Municipal Code Chapter 1-23](#), Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I am unable to certify the above to be true

Explain :

AT&T certifies subject to the following: The above certification is made on behalf of AT&T Corp. and its parent company AT&T Inc. to the best of AT&T's knowledge, after reasonably diligent research.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I am unable to certify the above to be true

Explain :

AT&T certifies subject to the following: With respect to the persons identified in Section II.B.2 of this EDS, AT&T Corp. makes these certifications on information and belief. Specifically, the officers and employees of AT&T Corp. are required to complete a code of business conduct certification annually. AT&T Corp. is a global company with a national presence. As such, AT&T Corp. is subject to local, state and federal rules and regulations in a number of jurisdictions, in addition to the City of Chicago. As a large corporate entity, AT&T Corp. is, and has been, a party in numerous civil actions and proceedings. With the exception of routine administrative matters, within the five-year period preceding the date of this EDS, to the best of its knowledge, after reasonably diligent research, AT&T Corp. has not been convicted, adjudged guilty, or found liable in any criminal or civil action instituted by a) the City of Chicago, b) the federal government, c) the State of Illinois or any

3. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#) nor any [Agents](#) have, during the five years before the date this EDS is signed, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an [Affiliated Entity](#) of a [Contractor](#) during the five years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or

- any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions of [Municipal Code Section 2-92-610 \(Living Wage Ordinance\)](#).

I certify the above to be true

4. Neither the Disclosing Party, [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the [Specially Designated Nationals List](#), the [Denied Persons List](#), the [Unverified List](#), the [Entity List](#) and the [Debarred List](#).

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of [Chapters 2-55 \(Legislative Inspector General\)](#), [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#) of the Municipal Code.

I certify the above to be true

7. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in [Section 2-32-455\(b\) of the Municipal Code](#), the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in [Chapter 2-156 of the Municipal Code](#) have the same meanings when used in this Part D.

1. In accordance with [Section 2-156-110 of the Municipal Code](#): Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, [Chapters 2-156](#) and [2-164](#) of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing

and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of [Chapter 1-23 of the Municipal Code](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [Chapter 1-23](#) and [Section 2-154-020 of the Municipal Code](#).

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its [Affiliated Entities](#) delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its [Affiliated Entities](#) will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal [Excluded Parties List System \("EPLS"\)](#) maintained by the U.S. General Services Administration.

I certify the above to be true

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [Municipal Code Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

(con't from Section V.B.1) or found liable in any criminal or civil action instituted by a) the City of Chicago, b) the federal government, c) the State of Illinois or any other state, d) any other unit of local government. In this response, AT&T Corp. is contruing the term "criminal and civil action" as referring only to an actual suit filed in a court of competent jurisdiction within the United States of America. Additionally, AT&T is not aware of any matter which would affect its abilit to deliver the Services to the City as agreed to pursuant to the Master Agreement between AT&T and the City. AT&T Corp. is not aware of any other materials that might be classified as "civil proceedings" for purposes of this EDS.

List of vendor attachments uploaded by City staff

None.

List of attachments uploaded by vendor

Appendix B

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 04/17/2015
Dominic Savone
Sales Manager
AT&T Corp.

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.

**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☐ No

☒ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 66799

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

AT&T Inc.

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

a legal entity holding a direct or indirect interest in the Applicant

The Disclosing Party holds an interest in

AT&T Corp. and EDS is 66797

B. Business address of the Disclosing Party:

One AT&T Way
208 S. Akard Street
Dallas, TX 75202
United States

C. Telephone:

312-364-6275

Fax:

D. Name of contact person:

Mr. Dominic Savone

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Publicly registered business corporation

Is the Disclosing Party incorporated or organized in the State of Illinois?

No

State or foreign country of incorporation or organization:

Delaware

Registered to do business in the State of Illinois as a foreign entity?

No

B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

Yes

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officer/Director: Randall L. Stephenson

Title: Chairman of the Board

Role: Both

Officer/Director: Michael B. McCallister

Title: Director

Role: Director

Officer/Director: Reuben V. Anderson

Title: Director

Role: Director

Officer/Director: Scott T. Ford

Title: Director

Role: Officer

Officer/Director:	James P. Kelly
Title:	Director
Role:	Director
Officer/Director:	Jon C. Madonna
Title:	Director
Role:	Director
Officer/Director:	John B. McCoy
Title:	Director
Role:	Director
Officer/Director:	Jaime Chico Pardo
Title:	Director
Role:	Director
Officer/Director:	Matthes K. Rose
Title:	Director
Role:	Director
Officer/Director:	Laura D'Andrea Tyson
Title:	Director
Role:	Director
Officer/Director:	Randall L. Stephenson
Title:	Chief Executive Officer and President
Role:	Both
Officer/Director:	William A. Blase Jr
Title:	Senior Executive Vice President - Human Resources
Role:	Officer
Officer/Director:	Catherine M. Coughlin
Title:	Senior Executive Vice President and Global Marketing Officer
Role:	Officer
Officer/Director:	John Donovan
Title:	Senior Executive Vice President - AT&T Technology and Network Operations
Role:	Officer

Officer/Director:	Andrew M. Geisse
Title:	Chief Executive Officer - AT&T Business Solutions
Role:	Officer

Officer/Director:	John T. Stankey
Title:	Group President and Chief Strategy Officer
Role:	Officer

Officer/Director:	John J. Stephens
Title:	Senior Executive Vice President and Chief Financial Officer
Role:	Officer

Officer/Director:	Wayne Watts
Title:	Senior Executive Vice President and General Counsel
Role:	Officer

Officer/Director:	Gerry R. Chicoine
Title:	Senior Vice President - Audit Services and Chief Compliance Officer
Role:	Officer

Officer/Director:	Jonathan P. Klug
Title:	Senior Vice President and Treasurer
Role:	Officer

Officer/Director:	Rick L. Moore
Title:	Senior Vice President - Corporate Development
Role:	Officer

Officer/Director:	Lawrence J. Ruzicka
Title:	Senior Vice President - Tax
Role:	Officer

Officer/Director:	Paul W. Stephens
Title:	Senior Vice President and Controller
Role:	Officer

Officer/Director:	George B. Goeke
Title:	Assistant Treasurer
Role:	Officer

Officer/Director:	Wayne A. Wirtz

Title:	Assistant Treasurer
Role:	Officer

Officer/Director:	Beth E. Mooney
Title:	
Role:	Director

Officer/Director:	Joyce M. Roche
Title:	
Role:	Director

Officer/Director:	Cynthia B. Taylor
Title:	
Role:	Director

Officer/Director:	Glenn H Hutchins
Title:	Director
Role:	Director

Officer/Director:	William E. Kennard
Title:	Director
Role:	Director

Officer/Director:	Michael J. Viola
Title:	Senior Vice President - Investor Relations
Role:	Officer

Officer/Director:	Lori Lee
Title:	Senior Executive Vice President - Home Solutions
Role:	Officer

Officer/Director:	Stacey Maris
Title:	Senior Vice President and Controller
Role:	Officer

2. Ownership Information

Please confirm ownership information concerning each person or entity that having a direct or indirect beneficial interest in excess of 7.5% of the Disclosing Party (your entity). Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited

liability company, or interest of a beneficiary of a trust, estate, or other similar entity.
Note: Each legal entity below may be required to submit an EDS on its own behalf.

As reported by the Disclosing Party, the immediate owner(s) of the Disclosing Party is/are listed below:

There are no owners with greater than 7.5 percent ownership in the Disclosing Party.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in [Chapter 2-156 of the Municipal Code](#), with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [Municipal Code Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

Not applicable because no person directly or indirectly owns 10% or more of the Disclosing Party

B. FURTHER CERTIFICATIONS

1. Pursuant to [Municipal Code Chapter 1-23](#), Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I am unable to certify the above to be true

Explain :

In the option for manual application, Section V.B,1.a-e, which correlates to this particular subpart 1 and subparts 2-6 below on the computer program, AT&T responded with a Supplemental Response which is attached hereto as Attachment A.

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I am unable to certify the above to be true

Explain :

In the option for manual application, Section V.B,1.a-e, which correlates to this particular subpart 1 and subparts 2-6 below on the computer program, AT&T responded with a Supplemental Response which is attached hereto as Attachment A.

3. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#) nor any [Agents](#) have, during the five years before the date this EDS is signed, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an

[Affiliated Entity](#) of a [Contractor](#) during the five years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of [Municipal Code Section 2-92-610 \(Living Wage Ordinance\)](#).

I certify the above to be true

4. Neither the Disclosing Party, [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the [Specially Designated Nationals List](#), the [Denied Persons List](#), the [Unverified List](#), the [Entity List](#) and the [Debarred List](#).

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of [Chapters 2-55 \(Legislative Inspector General\)](#), [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#) of the Municipal Code.

I certify the above to be true

7. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time

during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in [Section 2-32-455\(b\) of the Municipal Code](#), the Disclosing Party

is not a "financial institution"

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the

City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

- B. The City's Governmental Ethics and Campaign Financing Ordinances, [Chapters 2-156](#) and [2-164](#) of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of [Chapter 1-23 of the Municipal Code](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [Chapter 1-23](#) and [Section 2-154-020 of the Municipal Code](#).

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its [Affiliated Entities](#) delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [Municipal Code Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

AT&T Corp,the applicant, has provided this information in a simultaneous filing.

List of attachments uploaded by vendor

None.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 03/19/2015
Mr. Dominic Savone
Sales Manager
AT&T Inc.

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.