



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 34003

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

SimplexGrinnell

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

91 N. Mitchell Court
Addison, IL 60101
United States

C. Telephone:

630-948-1100

Fax:

D. Name of contact person:

Mr. James McHugh

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

FIRE EXTINGUISHER SERVICES AND FIRE SUPPRESSION SYSTEM SERVICES

Which City agency or department is requesting this EDS?

DEPT OF PROCUREMENT SERVICES

Specification Number

93030A

Contract (PO) Number

26994

Revision Number

Release Number

User Department Project Number

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Publicly registered business corporation

Is the Disclosing Party incorporated or organized in the State of Illinois?

No

State or foreign country of incorporation or organization:

Delaware

Registered to do business in the State of Illinois as a foreign entity?

Yes

B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

No

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officer/Director: Mr. Robert F Chauvin

Title: President

Role: Officer

Officer/Director: Mr. Chris Maxie

Title: Vice President & Secretary

Role: Officer

Officer/Director: Mr. Mark Meisner

Title: VP & CFO

Role: Officer

2. Ownership Information

Please provide ownership information concerning each person or entity that holds, or is anticipated to hold (see next paragraph), a direct or indirect beneficial interest in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Each legal entity below may be required to submit an EDS on its own behalf.

Please disclose present owners below. Please disclose anticipated owners in an attachment submitted through the "Additional Info" tab. "Anticipated owner" means an individual or entity in existence at the time application for City action is made, which is not an applicant or owner at such time, but which the applicant expects to assume a legal status, within six months of the time the City action occurs, that would render such individual or entity an applicant or owner if they had held such legal status at the time application was made.

There are no owners with greater than 7.5 percent ownership in the Disclosing Party.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in [Chapter 2-156 of the Municipal Code](#), with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained any legal entities in connection with the Matter?

No

3. Has the Disclosing Party retained any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [Municipal Code Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

Not applicable because no person directly or indirectly owns 10% or more of the Disclosing Party

B. FURTHER CERTIFICATIONS

1. Pursuant to [Municipal Code Chapter 1-23](#), Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I certify the above to be true

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

3. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#) nor any [Agents](#) have, during the five years before

the date this EDS is signed, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an [Affiliated Entity](#) of a [Contractor](#) during the five years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of [Municipal Code Section 2-92-610 \(Living Wage Ordinance\)](#).

I certify the above to be true

4. Neither the Disclosing Party, [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the [Specially Designated Nationals List](#), the [Denied Persons List](#), the [Unverified List](#), the [Entity List](#) and the [Debarred List](#).

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of [Chapters 2-55 \(Legislative Inspector General\)](#), [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#) of the Municipal Code.

I certify the above to be true

7. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time

during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in [Section 2-32-455\(b\) of the Municipal Code](#), the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in [Chapter 2-156 of the Municipal Code](#) have the same meanings when used in this Part D.

1. In accordance with [Section 2-156-110 of the Municipal Code](#): Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, [Chapters 2-156](#) and [2-164](#) of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and

any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of [Chapter 1-23 of the Municipal Code](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [Chapter 1-23](#) and [Section 2-154-020 of the Municipal Code](#).

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its [Affiliated Entities](#) delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its [Affiliated Entities](#) will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal [Excluded Parties List System \("EPLS"\)](#) maintained by the U.S. General Services Administration.

I certify the above to be true

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [Municipal Code Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

SimplexGrinnell LP is a wholly owned, indirect subsidiary of Tyco International Ltd. Tyco is a publicly traded on the NASDAQ under the symbol TYC. The Directors listed on the Ownership tab re the Senior Leaders of SimplexGrinnell. SimplexGrinnell as a business unit does not have a board of directors. More detail about SimplexGrinnell can be found on the attached company profile.

List of vendor attachments uploaded by City staff

None.

List of attachments uploaded by vendor

Company Profile

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 10/18/2012

Mr. James McHugh

Fire & Life Safety

SimplexGrinnell

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.

Company Information

Company legal name: SimplexGrinnell LP
Principal place of business: 1501 Yamato Road
Boca Raton, FL 33431
USA
561-988-3600
Website: www.simplexgrinnell.com
State of Formation/Type: Delaware Limited Partnership
Dated Formed: March 7, 2001
Federal Tax ID: 58-2608861
Dun & Bradstreet: 09-473-8007
Rating – 5A3
Interstate ID Number: 1495895A
**General Partner/
Parent Company:** Simplex Time Recorder Co.
1501 Yamato Road
Boca Raton, FL 33431
USA

SimplexGrinnell LP provides fire detection, sprinkler and suppression system, security and building communications solutions and services to customers worldwide. SimplexGrinnell combines the forces of Simplex Time Recorder Co. (Simplex) and Grinnell Corporation d/b/a Grinnell Fire Protection Corporation, both of which have been in business well over 100 years. SimplexGrinnell is qualified to do business in all 50 US states with over 9,500 employees.

NAICS (2007)	SIC	Description
238220		Fire sprinkler system installation
334290		Fire detection and alarm systems manufacturing <i>(For use only by Westminster, MA)</i>
423990		Fire extinguisher sales combined with rental and/or service
561621		Fire alarm sales combined with installation, repair, or monitoring services ; Security alarm systems sales combined with installation, repair, or monitoring services
	1711	Sprinkler system installation contractors
	1731	Fire and burglar alarm installation contractors
	7382	Security systems device, burglar and fire alarm monitoring and maintenance
	3669	Manufacturing fire alarm apparatus, electric <i>(For use only by Westminster, MA)</i>
	7389	Servicing of fire extinguishers

SimplexGrinnell is not a small, woman-owned, minority-owned, veteran or disadvantaged business.

Affiliates:

SimplexGrinnell LP is a wholly owned, indirect subsidiary of Tyco International Ltd. Tyco has several subsidiaries that may be considered affiliates of SimplexGrinnell. Please refer to Tyco's website at www.tyco.com for further information.

Key Officers:

<u>President</u> Robert F. Chauvin 50 Technology Drive Westminster, MA 01441	<u>Vice President & Secretary</u> Chris Maxie 1501 Yamato Road Boca Raton, FL 33431	<u>Vice President and Chief Financial Officer</u> Mark Meisner 50 Technology Drive Westminster, MA 01441
---	--	---

Company Information

Credit References:

Creative Print Products
803R Lancaster Street
Leominster, MA 01453
Contact: Rita Brun
Tel: 978-534-2030
Fax: 978-534-1730

United Rentals Inc.
2318 Espey Court
Crofton, MD 21114
Contact: Juanita Green
Tel: 443-332-4135
Fax: 360-824-6018

DataSPAN
PO Box 1407
Graham, TX 76450
Contact: Ken McHenry
Tel: 940-549-5462 x 1098
Fax: 940-549-4853

EMC Corporation
176 South Street
Hopkinton, MA 01748
Contact: Thomas Brown
Tel: 508-435-1000 ext. 76672
Fax: 508-431-7030

Kelly Solutions, Inc.
210 West Road, Unit 7
Portsmouth, NH 03801
Tel: 603-431-3881
Fax: 603-430-6855

Bank Reference:

The Bank of New York Mellon
6023 Airport Rd.
Oriskany, New York 13424
Attn: Credit Inquiry Unit
Acct: 119-5680

Bank reference information is available via The Bank of New York Mellon online credit inquiry form at <https://www.bnymellon.com/credit/>. If you prefer to mail or fax your form, please print it from the above link, and mail to the address above or fax to 732-667-4620.

Financial Information:

SimplexGrinnell LP is a wholly owned, indirect subsidiary of Tyco International Ltd. Tyco's latest financial information, including its latest annual reports, quarterly reports and other filings are available on the Investor Relations section of Tyco's website at www.tyco.com.

Licensing:

SimplexGrinnell LP is licensed by numerous state and local authorities to engage in fire sprinkler, fire alarm, fire suppression and security system contracting and related activities. Information regarding relevant licenses may be provided upon request. License information is also available at www.simplexgrinnell.com.

Ownership:

No officer or director owns more than a 5% interest in the company. General and limited partner ownership as follows:

	Common
Simplex Time Recorder Co.	50.7827%
Grinnell LLC	47.0922%
Master Protection LP	2.1238%
STR Grinnell GP Holding, LLC	0.0013%

Insurance & Bonds:

Tyco International, Ltd., purchases insurance on behalf of all its operations and subsidiaries worldwide. This insurance coverage includes coverage for General/Products Liability, Automobile Insurance, Workers Compensation/Employers Liability, Property Insurance, Transit/Cargo Insurance, and Excess/Umbrella Liability Insurance, as well as many others.

It is Tyco's corporate policy to not issue insurance certificates or other detailed coverage statements, until actual contracts have been agreed to. Once contracts are drawn up, we are more than willing to produce certificates evidencing our insurance coverages in a timely and expedient manner on insurance industry standard ACCORD certificate forms. Tyco International does purchase insurance that complies with all applicable regulations, laws, customs, and practices for all US states, territories, possessions, Canada, Mexico, along with most countries in the world. Please also note that Tyco is a company with annual revenues of over \$17 billion and operates in over 60 countries. The limits and types of insurance we purchase are in accordance with companies of comparable size.

Company Information

Corporate Responsibility:

Tyco is committed to the highest standards of corporate responsibility. Tyco's investments in these efforts include programs to reduce its environmental impact and enhance the health and safety of its employees to help achieve its vision of zero harm to people and the environment. Tyco is focused on building diverse teams and leveraging their talent, enthusiasm, energy, and commitment across the company. For more information please see the [Corporate Responsibility](#) section of Tyco's website, www.tyco.com.

Tyco is committed to operating in compliance with all applicable laws and regulations in the global marketplace in which we do business. Tyco's Compliance Program establishes the framework for meeting this commitment. The program has been designed to help identify, manage and mitigate Tyco's compliance risks. Tyco is a member of the World Economic Forum Partnering Against Corruption Initiative (PACI). PACI brings together companies from varied industries and geographies to fight bribery and corruption. The initiative now has over 150 signatories of industry-leading companies, all supporting a zero-tolerance policy towards bribery and promoting effective internal anti-corruption programs. Tyco upholds these standards by enforcing its Guide to Ethical Conduct and Zero Harm initiatives. For additional information please see [Tyco's Guide to Ethical Conduct](#) and [Environment, Health & Safety](#). Information about these programs can be found on www.tyco.com.

Safety:

Tyco companies maintain a comprehensive safety program. Tyco's rate of Interstate Experience Modification Factors (EMR) for the past 5 years is:

Policy Period	EMR
10/1/2010 – 10/1/2011	.83(Contingent)
10/1/2009 – 10/1/2010	.79 (Contingent)
10/1/2008 – 10/1/2009	.77
6/27/2008 – 10/1/2008	.76
6/29/2007 – 6/29/2008	.75

Tyco's OSHA Lost Time Incident Rate:

2010	1.05
2009	1.25
2008	1.34
2007	1.51
2006	1.54

Litigation:

SimplexGrinnell LP is a fire detection, sprinkler system, security and building communications solutions company that combines the forces of both Simplex and Grinnell Fire Protection, both of which have been in business well over 100 years. SimplexGrinnell operates from about 150 offices in all 50 states. Because of the type of business it is in, from time to time in the ordinary course of its business, SimplexGrinnell becomes involved in claims and litigation. However, there are no claims or litigation currently pending that would materially affect SimplexGrinnell's ability to perform.

Business Continuity/Disaster Recovery:

SimplexGrinnell's Disaster Recovery plan is confidential and, thus, is not released to third parties. SimplexGrinnell has established a recovery plan to ensure that its business systems are recovered in a timely manner in the event of a disaster. We work with a third party vendor experienced in providing disaster recovery service. As part of this plan, SimplexGrinnell conducts periodic Disaster Tests to ensure that its plan is effective and to measure the efficiency of plan improvements. In addition, SimplexGrinnell's monitoring center operates a concurrent backup system in another location. This system mirrors SimplexGrinnell's central station facility. If an unforeseen event were to affect the central station, all calls would be automatically redirected to the backup facility to provide customers with seamless monitoring of their systems.

Company Information

Supplier Diversity:

Supplier diversity has become a key business strategy for progressive multi-national corporations. As a customer driven organization, Tyco is focused on fully understanding and exceeding our customers' needs, wants, and preferences and providing greater value to our customers. To achieve this, we built our organization and supply base to reflect the demographics and virtues of our stakeholders and customers. In Fiscal Year 2009, Tyco supplier diversity spend with Minority and Woman-Owned Enterprises (MWBE) was 5.5%. In Fiscal year 2010, Tyco's spend with MWBE suppliers was 6.6%, representing an increase of 1.1% from the previous year. In Fiscal year 2011, Tyco's Supplier Diversity Program aligned its definition of supplier diversity with the federal government's definition of supplier diversity so that it now includes MBE, WBE, Native American, disabled vets, and hub zone thus increasing Tyco's diversity spend to 14.8%.