



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 19313

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

Baker Tilly Virchow Krause, LLP

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

205 North Michigan Avenue
Chicago, IL 60601
United States

C. Telephone:

312-729-8188

Fax:

312-729-8199

D. Name of contact person:

Ms. Heather Acker

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

FEDERAL PROGRAMS SINGLE AUDIT

Which City agency or department is requesting this EDS?

DEPT OF PROCUREMENT SERVICES

Specification Number

58220

Contract (PO) Number

16941

Revision Number

Release Number

User Department Project Number

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Limited liability partnership

Is the Disclosing Party incorporated or organized in the State of Illinois?

Yes

B. CERTIFICATION REGARDING Controlling Interest

1.b.1 Are there any individuals who control the day-to-day management of the Disclosing Party as a general partner, managing member, manager, or other capacity?

Yes

1.b.2 List all general partners, managing members, managers, and any others who control the day-to-day management of the Disclosing Party. Don't include any legal entities in this answer- these will be named later:

Name: Please See Attached List At End of Form

Title: -----

1.b.3 Are there any legal entities that control the day-to-day management of the Disclosing Party as a general partner, managing member, manager, or other capacity?

No

2. Ownership Information

Please provide ownership information concerning each person or entity that holds, or is anticipated to hold (see next paragraph), a direct or indirect beneficial interest in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Each legal entity below may be required to submit an EDS on its own behalf.

Please disclose present owners below. Please disclose anticipated owners in an attachment submitted through the "Additional Info" tab. "Anticipated owner" means an individual or entity in existence at the time application for City action is made, which is not an applicant or owner at such time, but which the applicant expects to assume a legal status, within six months of the time the City action occurs, that would render such individual or entity an applicant or owner if they had held such legal status at the time application was made.

There are no owners with greater than 7.5 percent ownership in the Disclosing Party.

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in [Chapter 2-156 of the Municipal Code](#), with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained any legal entities in connection with the Matter?

Yes

2. List below the names of all legal entities which are retained parties.

Name:	Benford Brown & Associates, LLC
Anticipated/Retained:	Anticipated
Business Address:	8334 South Stony Island Avenue Chicago, IL 60617 United States
Relationship:	Subcontractor - MWDBE
Fees (\$\$ or %):	\$108,076
Estimated/Paid:	Estimated

Name:	Prado & Renteria
Anticipated/Retained:	Retained
Business Address:	1837 South Michigan Avenue Chicago, IL 60616 United States
Relationship:	Subcontractor - MWDBE
Fees (\$\$ or %):	\$48,404.22
Estimated/Paid:	Paid

Name:	Velma Butler & Company, Ltd
Anticipated/Retained:	Anticipated
Business Address:	6 East Monroe Street Suite 400 Chicago, IL 60603 United States
Relationship:	Subcontractor - MWDBE
Fees (\$\$ or %):	\$28,778

Estimated/Paid:	Estimated
<hr/>	
Name:	Benford Brown & Associates, LLC
Anticipated/Retained:	Retained
Business Address:	8135 South Stony Island Avenue Chicago, IL 60617 United States
Relationship:	Subcontractor - MWDBE
Fees (\$\$ or %):	\$202,446.96
Estimated/Paid:	Paid
<hr/>	
Name:	Hill Taylor, LLC
Anticipated/Retained:	Retained
Business Address:	116 South Michigan Avenue 11th Floor Chicago, IL 60603 United States
Relationship:	Subcontractor - MWDBE
Fees (\$\$ or %):	\$76,900.65
Estimated/Paid:	Paid
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3. Has the Disclosing Party retained any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [Municipal Code Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

Not applicable because no person directly or indirectly owns 10% or more of the Disclosing Party

B. FURTHER CERTIFICATIONS

1. Pursuant to [Municipal Code Chapter 1-23](#), Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I certify the above to be true

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

3. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#) nor any [Agents](#) have, during the five years before

the date this EDS is signed, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an [Affiliated Entity](#) of a [Contractor](#) during the five years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions of [Municipal Code Section 2-92-610 \(Living Wage Ordinance\)](#).

I certify the above to be true

4. Neither the Disclosing Party, [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the [Specially Designated Nationals List](#), the [Denied Persons List](#), the [Unverified List](#), the [Entity List](#) and the [Debarred List](#).

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of [Chapters 2-55 \(Legislative Inspector General\)](#), [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#) of the Municipal Code.

I certify the above to be true

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in [Section 2-32-455\(b\) of the Municipal Code](#), the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in [Chapter 2-156 of the Municipal Code](#) have the same meanings when used in this Part D.

1. In accordance with [Section 2-156-110 of the Municipal Code](#): Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, [Chapters 2-156](#) and [2-164](#) of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of [Chapter 1-23 of the Municipal Code](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [Chapter 1-23](#) and [Section 2-154-020 of the Municipal Code](#).

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its [Affiliated Entities](#) delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its [Affiliated Entities](#) will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal [Excluded Parties List System \("EPLS"\)](#) maintained by the U.S. General Services Administration.

I certify the above to be true

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [Municipal Code Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If needed you may add an attachment below.

List of Partners and Principals, per Section II, 1.b.2, attached

List of vendor attachments uploaded by City staff

None.

List of attachments uploaded by vendor

List of Baker Tilly Virchow Krause Partners and Principals

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 06/02/2011

Ms. Heather Acker

CPA, Partner

Baker Tilly Virchow Krause, LLP

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.

First_name	Last_name	Job_title
Heather	Acker	Partner
Dan	Andersen	Partner
Carl	Anderson	Partner
Christine	Anderson	Partner
John	Andres	Partner
Brandon	Andries	Partner
Karen	Angell	Partner
William	Apple	Partner
Mark	Bakko	Partner
Scott	Barnard	Partner
Randall	Barrus	Partner
Ronald	Barthell	Partner
Paul	Batchelor	Partner
David	Bauer	Partner
Donald	Bernards	Partner
Anthony	Berndt	Partner
Lawrence	Bittner	Partner
Christopher	Braun	Partner
Brian	Bulkley	Partner
Todd	Carpenter	Partner
William	Chapman	Partner
Timothy	Christen	Partner
Richard	Cole	Partner
Jason	Coyle	Partner
Christine	Dahlhauser	Partner
Craig	Daniel	Partner
Daryl	Dekam	Partner
Brad	DeNoyer	Partner
Jeffrey	Deyoung	Partner
Charles	Droege	Partner
Randy	Dummer	Partner
Scott	Ebert	Partner
Jayson	Edwards	Partner
Charles	Esser	Partner
Larry	Everhart	Partner
Jeffrey	Feder	Partner
Michael	Fenberg	Partner
Christine	Fenske	Partner
Michael	Fitzpatrick	Partner
Russell	Fleming	Partner
Carl	Franseen	Partner
Jeffrey	French	Partner
Richard	Frohmaker	Partner
Lynn	Gardinier	Partner
Jeffrey	Gendreau	Partner
Carla	Gogin	Partner
Daniel	Gorecki	Partner
Adam	Grinde	Partner
Todd	Hagedorn	Partner
Matthew	Hallam	Partner
Thomas	Harjes	Partner
Noel	Hastalis	Partner
Vicki	Hellenbrand	Partner
Kevin	Heppner	Partner
Amelia	Hillman	Partner
Russell	Hissom	Partner

Dwayne	Holt	Partner
Steven	Holzhueter	Partner
Jeffrey	Horein	Partner
Robert	Hottle	Partner
Laura	Huggett	Partner
Wade	Huseth	Partner
William	Keating	Partner
Peter	Keller	Partner
Jeffrey	Kersten	Partner
Glenn	Kieckhaefer	Partner
Patrick	Killeen	Partner
Robert	Kleiman	Partner
John	Knepel	Partner
Timothy	Kosiek	Partner
John	Krause	Partner
Michael	Krueger	Partner
Stephen	Levin	Partner
John	Lindell	Partner
Kevin	Loomans	Partner
Allan	Mader	Partner
James	Malczewski	Partner
Ryan	Maniscalco	Partner
Barry	Masek	Partner
Michael	McKee	Partner
Peter	McKenna	Partner
Thomas	Minielly	Partner
Mary	Miske	Partner
Lawrence	Mohr	Partner
Brian	Morgan	Partner
Wayne	Morgan	Partner
Ross	Munson	Partner
William	Murphy	Partner
James	Murray	Partner
Craig	Nelson	Partner
Therese	Nelson	Partner
Bradley	Nicklin	Partner
John	Niehoff	Partner
Chad	O'Brien	Partner
Robert	Offterdinger	Partner
Kenton	Oren	Partner
Krista	Pankop	Partner
Rachel	Polson	Partner
Kevin	Prather	Partner
Donald	Rahn	Partner
Tim	Ribbens	Partner
C. Scott	Riser	Partner
Matthew	Rodgers	Partner
Christopher	Roemersma	Partner
James	Rose	Partner
Michael	Ross	Partner
John	Rossi	Partner
Kristine	Ryber	Partner
Thomas	Scheidegger	Partner
Wayne	Schiferl	Partner
Julie	Schwingel	Partner
Thomas	Sheahan	Partner
Brenen	Sieber	Partner

Markalan Thomas	Smith	Partner
Lisa	Steele	Partner
Laurie	Steger	Partner
Steven	Stensrud	Partner
Todd	Stokes	Partner
Ronald	Szarynski	Partner
Kelly	Toole	Partner
Kim	Tredinnick	Partner
Corey	Tremaine	Partner
Wendi	Unger	Partner
Thomas	Unke	Partner
Alan	Waal	Partner
Thomas	Walker	Partner
Peter	Wautlet	Partner
Gregg	Weiss	Partner
William	Wertz	Partner
Alan	Whitman	Partner
Jean	Wicks	Partner
Katherine	Wiernicki	Partner
Russell	Wolff	Partner
William	Wong	Partner
Aaron	Worthman	Partner
Robert	Zemple	Partner
James	Brasher	Partner - Tax
Judith	Meguire	Partner - Tax
Tina	Milligan	Partner - Tax
Ethan	Bach	Principal
William	Bressette	Principal
Brent	Calhoon	Principal
Tony	Fuller	Principal
Matthew	Haller	Principal
Lisa	Hauser	Principal
Daniel	Head	Principal
Kimberly	Herlitzka	Principal
Mark	Heroux	Principal
Steven	Hooyman	Principal
Bruce	Hutler	Principal
Peter	Lauria	Principal
Bryan	Majewski	Principal
Mark	Oberlander	Principal
Steven	Parish	Principal
Terri	Preston-Koenig	Principal
Raina	Rose Tagle	Principal
John	Runte	Principal
Thomas	Schiesl	Principal
Brigitta	Scott	Principal
Jere	Shawver	Principal
Christine	Smith	Principal
Deborah	Smith	Principal
Jeffrey	Smith	Principal
Regina	Staudacher	Principal
Thomas	Tagle	Principal
John	Van Meter	Principal
Francis	Walker	Principal