CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 175922

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

Rush University Medical Center

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

1653 W. Congress Parkway
Office 437 JRB
Chicago, IL 60612
United States

C. Telephone:

312-942-5000

Fax:

312-942-2549

D. Name of contact person:

Rachel Smith

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

DFSS-IDOA-SS-HWP:

G. Which City agency or department is requesting this EDS?

DEPT OF FAMILY AND SUPPORT SERVICES

Specification Number

1241907

Contract (PO) Number

182894

Revision Number

0

Release Number

0

User Department Project Number

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Not-for-profit corporation

Is the Disclosing Party also a 501(c)(3) organization?

Yes

Is the Disclosing Party incorporated or organized in the State of Illinois?

Yes

B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

Yes

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officer/Director: Ms. Susan Crown
Title: Chairperson
Role: Both

Officer/Director: Mr. William M. Goodyear
Title: Vice Chairman
Role: Both

Officer/Director: Mr. John L. Brennan
Title: Trustee
Role: Director

Officer/Director: Mr. Peter C.B. Bynoe
Title: Vice Chairman
Role: Both

Officer/Director: Mr. E. David Coolidge III
Title: Trustee
Role: Director

Officer/Director: Mr. James W. DeYoung
Title: Vice Chairman
Role: Both

Officer/Director: Mr. William Downe
Title: Trustee
Role: Director

Officer/Director: Ms. Christine A. Edwards
Title: Vice Chairman
Role: Both

Officer/Director: Mr. Larry Field
Title: Trustee
Role: Director

Officer/Director: Mr. Robert F. Finke
Title: Trustee

Role: Director

Officer/Director: Mr. William J. Friend

Title: Trustee

Role: Director

Officer/Director: Mr. H. John Gilbertson

Title: Trustee

Role: Director

Officer/Director: Ms. Sandra P. Guthman

Title: Trustee

Role: Director

Officer/Director: Mr. William J. Hagenah

Title: Trustee

Role: Director

Officer/Director: Ms. Christie Hefner

Title: Trustee

Role: Director

Officer/Director: Ms. Marcie B. Hemmelstein

Title: Trustee

Role: Director

Officer/Director: Mr. Jay L. Henderson

Title: Trustee

Role: Director

Officer/Director: Mr. Marvin J. Herb

Title: Trustee

Role: Director

Officer/Director: Mr. John W. Higgins

Title: Trustee

Role: Director

Officer/Director: Mr. Ron Huberman

Title: Trustee

Role: Director

Officer/Director: Mr. Kip Kirkpatrick

Title: Trustee

Role: Director

Officer/Director: Mr. Sheldon Lavin

Title: Trustee

Role: Director

Officer/Director: Mr. Wayne L. Moore

Title: Trustee

Role: Director

Officer/Director: Mr. Michael J. O'Connor

Title: Trustee

Role: Director

Officer/Director: Mr. William H. Osborne

Title: Trustee

Role: Director

Officer/Director: Aurie A. Pennick

Title: Trustee

Role: Director

Officer/Director: Ms. Sheila A. Penrose

Title: Trustee

Role: Director

Officer/Director: Mr. Perry R. Pero

Title: Trustee

Role: Director

Officer/Director: Mr. Stephen N. Potter

Title: Vice Chairman

Role: Both

Officer/Director: Mr. John J. Sabl

Title: Trustee

Role: Director

Officer/Director: Gloria Santona

Title: Trustee

Role: Director

Officer/Director: Mrs. Carole B Segal
Title: Trustee
Role: Director

Officer/Director: Mr. Alejandro Silva
Title: Trustee
Role: Director

Officer/Director: Mr. Carl W. Stern
Title: Trustee
Role: Director

Officer/Director: Mr. Charles A. Tribbett III
Title: Trustee
Role: Director

Officer/Director: Mr. Thomas J. Wilson
Title: Trustee
Role: Director

Officer/Director: Mr. Robert A. Wislow
Title: Trustee
Role: Director

Officer/Director: Dr. Barbara Jil Wu
Title: Trustee
Role: Director

Officer/Director: Ms. Susan R Lichtenstein
Title: Trustee
Role: Director

Officer/Director: Mr. Gary E McCullough
Title: Trustee
Role: Director

Officer/Director: Mr. Eric A Reeves
Title: Trustee
Role: Director

Officer/Director: Ms. Francesca Maher Edwardson
Title: Trustee

Role: Director

Officer/Director: Ms. Karen B Case

Title: Trustee

Role: Director

Officer/Director: Ms. Kelly McNamara Corley

Title: Trustee

Role: Director

Officer/Director: Dr. Charles L Evans

Title: Trustee

Role: Director

Officer/Director: Ms. Pamela Forbes Lieberman

Title: Trustee

Role: Director

Officer/Director: Mr. William A Mynatt Jr

Title: Trustee

Role: Director

Officer/Director: Mr. Martin H Nesbitt

Title: Trustee

Role: Director

Officer/Director: Mr. John L Howard

Title: Trustee

Role: Director

Officer/Director: E. Scott Santi

Title: Trustee

Role: Director

Officer/Director: Ms. Jennifer W. Steans

Title: Trustee

Role: Director

Officer/Director: Ms. Joan E Steel

Title: Trustee

Role: Director

Officer/Director: Mr. Matthew J Boler

Title:	Trustee
Role:	Director
Officer/Director:	Mr. David A Ansell
Title:	SVP, Community Health Equity
Role:	Officer
Officer/Director:	Ms. Diane M McKeever
Title:	SVP, Philanthropy and Chief Development Officer; Secretary
Role:	Officer
Officer/Director:	Mr. Todd Lillibridge
Title:	Trustee
Role:	Director
Officer/Director:	Paul E. Martin
Title:	Trustee
Role:	Director
Officer/Director:	Kapila K. Anand
Title:	Trustee
Role:	Director
Officer/Director:	Matthew F. Bergmann
Title:	Trustee
Role:	Director
Officer/Director:	Adela Cepeda
Title:	Trustee
Role:	Director
Officer/Director:	Allison L. Chung
Title:	Trustee
Role:	Director
Officer/Director:	Karen Jaffee Cofsky
Title:	Trustee
Role:	Director
Officer/Director:	Ann Watson Cohn
Title:	Trustee

Role: Director

Officer/Director: Peter M. Ellis

Title: Trustee

Role: Director

Officer/Director: David C. Habiger

Title: Trustee

Role: Director

Officer/Director: William T. Huffman Jr

Title: Trustee

Role: Director

Officer/Director: Thomas E. Lanctot

Title: Trustee

Role: Director

Officer/Director: Omar B. Lateef, DO

Title: Chief Executive Officer and President

Role: Both

Officer/Director: Kenneth H. M. Leet

Title: Trustee

Role: Director

Officer/Director: Roger S. McEniry

Title: Trustee

Role: Director

Officer/Director: Andrew J. Mills

Title: Trustee

Role: Director

Officer/Director: Jose Luis Prado

Title: Trustee

Role: Director

Officer/Director: Steven R. Quazzo

Title: Trustee

Role: Director

Officer/Director: Joan S. Rubschlager

Title: Trustee

Role: Director

Officer/Director: David H. B. Smith Jr

Title: Trustee

Role: Director

Officer/Director: Russell P. Smyth

Title: Trustee

Role: Director

Officer/Director: Paul W. Theiss

Title: Trustee

Role: Director

Officer/Director: Shundrawn A. Thomas

Title: Trustee

Role: Director

Officer/Director: Frederick M. Brown, DNP

Title: Annual Trustee

Role: Director

Officer/Director: Catherine A. Dimou, MD

Title: Annual Trustee

Role: Director

Officer/Director: Justin Ishbia

Title: Annual Trustee

Role: Director

Officer/Director: Cindy Nicolaides

Title: Annual Trustee

Role: Director

Officer/Director: Karen C. Reid

Title: Annual Trustee

Role: Director

Officer/Director: Kenneth J. Tuman, MD

Title: Annual Trustee

Role: Director

Officer/Director:	Edward J. Ward, MD
Title:	Annual Trustee
Role:	Director
Officer/Director:	Sherine E. Gabriel, MD
Title:	President, Rush University
Role:	Officer
Officer/Director:	Wayne E. Keathley
Title:	Executive Vice President and Chief Operating Officer
Role:	Officer
Officer/Director:	Carl T. Bergetz, JD
Title:	Senior Vice President, Legal Affairs and General Counsel
Role:	Officer
Officer/Director:	Susan L. Freeman, MD
Title:	Senior Vice President and Provost, Rush University
Role:	Officer
Officer/Director:	Richa Gupta, MBBS
Title:	Senior Vice President and Chief Operating Officer, Rush University Medical Group
Role:	Officer
Officer/Director:	Tatyana Popkova
Title:	Senior Vice President, Strategic Planning and Marketing, Chief Strategy Officer
Role:	Officer
Officer/Director:	Angelique L. Richard, PhD, RN
Title:	Senior Vice President, Hospital Operations and Chief Nursing Officer
Role:	Officer
Officer/Director:	Cynthia E. Boyd, MD
Title:	Vice President and Chief Compliance Officer
Role:	Officer
Officer/Director:	Peter Briechle, PhD
Title:	Vice President, Programs & Services, Philanthropy
Role:	Officer

Officer/Director:	Rukiya Curvey Johnson
Title:	Interim Vice President, Community Health Equity
Role:	Officer
Officer/Director:	Bala Hota, MD
Title:	Vice President and Chief Analytics Officer
Role:	Officer
Officer/Director:	Courtney Kammer
Title:	Senior Vice President, Human Resources
Role:	Officer
Officer/Director:	Stanley Quincy
Title:	Vice President, Hospital Operations
Role:	Officer
Officer/Director:	Patricia S. O'Neil
Title:	Interim Senior Vice President and RUSH Chief Financial Officer; Treasurer
Role:	Officer
Officer/Director:	Anthony J. Perry, MD
Title:	Vice President, Ambulatory Transformation
Role:	Officer
Officer/Director:	Terry Peterson
Title:	Vice President, Corporate and External Affairs
Role:	Officer
Officer/Director:	Jeremy E. Strong
Title:	Vice President, Supply Chain
Role:	Officer
Officer/Director:	Katie Conklin Struck, JD
Title:	Vice President and Chief Administrative Officer, Oncology Service Line
Role:	Officer
Officer/Director:	Kelly Sullivan, JD
Title:	Deputy General Counsel and Chief Risk Officer
Role:	Officer

Officer/Director:	Denise N. Szalko
Title:	Vice President, Revenue Cycle
Role:	Officer
Officer/Director:	Thomas P. Wick
Title:	Vice President, Principal and Major Gifts, Philanthropy
Role:	Officer
Officer/Director:	Alex D. Wiggins
Title:	Vice President and Chief Investment Officer
Role:	Officer
Officer/Director:	James Wilson
Title:	Vice President, Financial Planning, Budget and Decision Support
Role:	Officer
Officer/Director:	Andrew J. Bean, PhD
Title:	Vice President and Dean, Graduate College
Role:	Officer
Officer/Director:	Charlotte Royeen, PhD
Title:	Vice President and Dean, College of Health Sciences
Role:	Officer
Officer/Director:	Badrinath Konety, MBBS
Title:	Senior Vice President, Clinical Affairs, and Dean, Rush Medical College
Role:	Officer
Officer/Director:	Christine M. Kennedy, PhD
Title:	Vice President and Dean, College of Nursing
Role:	Officer
Officer/Director:	Carolyn Reed
Title:	Assistant Secretary
Role:	Officer
Officer/Director:	Mariella Mercer
Title:	Assistant Treasurer
Role:	Officer

Officer/Director: Aney Abraham

Title: Vice President, Patient Care Services

Role: Officer

Officer/Director: Paul Casey

Title: Senior Vice President, and Chief Medical Officer

Role: Officer

Officer/Director: Wendy Cox-Largent

Title: Vice President and Chief Financial Officer, Rush University Medical Group

Role: Officer

Officer/Director: Deval Daily

Title: Vice President and Chief Administrative Officer, Neurosciences-Cardiac Service Line

Role: Officer

Officer/Director: Kate Jones

Title: Vice President, Strategic Planning, Marketing and Communications

Role: Officer

Officer/Director: Kerem Korkmaz

Title: Vice President, Patient Care Services

Role: Officer

Officer/Director: Paola Pescara

Title: Vice President, Strategic Growth

Role: Officer

Officer/Director: Robert Spadoni

Title: Vice President and Chief Operating Officer, Rush Oak Park Hospital

Role: Officer

Officer/Director: Janet Stifter

Title: Vice President, Perioperative and Interventional Services

Role: Officer

Officer/Director: Marsha A Cuzzan

Title:

Role: Director

Officer/Director: Juan R. Luciano

Title:

Role: Director

Officer/Director: Christopher N Merrill

Title:

Role: Director

Officer/Director: Caroline S. Grace

Title: Trustee

Role: Director

Officer/Director: Darrel H. Hackett

Title: Trustee

Role: Director

Officer/Director: Bradley J. Henderson

Title: Trustee

Role: Director

Officer/Director: Francis O. Idehen Jr

Title: Trustee

Role: Director

Officer/Director: Charles R. Matthews

Title: Trustee

Role: Director

Officer/Director: Brian T. McCormack

Title: Trustee

Role: Director

Officer/Director: Tonise C. Paul

Title: Trustee

Role: Director

Officer/Director: Frank J. Techar

Title: Trustee

Role: Director

Officer/Director: Pallavi K. Verma

Title:	Trustee
Role:	Director
Officer/Director:	Sam Yagan
Title:	Trustee
Role:	Director
Officer/Director:	Melissa Browning, DNP
Title:	Annual Trustee
Role:	Director
Officer/Director:	James M. Farrell
Title:	Annual Trustee
Role:	Director
Officer/Director:	Cindy Clark Mancillas
Title:	Annual Trustee
Role:	Director
Officer/Director:	Vijaya B. Reddy, MB, BS
Title:	Annual Trustee
Role:	Director
Officer/Director:	R. Jeffrey Snell, MD
Title:	Annual Trustee
Role:	Director
Officer/Director:	Larry J. Goodman, MD
Title:	Interim President
Role:	Officer
Officer/Director:	Cynthia Brincat, MD, PhD
Title:	Interim Dean, Rush Medical College
Role:	Officer
Officer/Director:	Shannon Driscoll
Title:	Vice President, Rush University Medical Group Practice Operations
Role:	Officer
Officer/Director:	Chris H. Gade
Title:	Vice President, Marketing and Engagement

Role:	Officer
Officer/Director:	Jeffrey M. Gautney
Title:	Vice President and Chief Information Officer
Role:	Officer
Officer/Director:	Dale A. Grandlic
Title:	Vice President, Facilities Management
Role:	Officer
Officer/Director:	Shonda S. Morrow, JD, MS, RN
Title:	Vice President, Patient Care Services
Role:	Officer
Officer/Director:	Philip N. Quick
Title:	Vice President, Rush University Medical Group Access Center
Role:	Officer
Officer/Director:	Dino Rumoro, DO, MPH
Title:	President and Chief Executive Officer, Rush Oak Park Hospital
Role:	Officer
Officer/Director:	Brian D. Stein, MD
Title:	Vice President and Chief Quality Officer
Role:	Officer

1.a.5 Are there any members of the not-for-profit Disclosing Party which are legal entities?

Yes

1.a.6 List all members of the not-for-profit Disclosing Party which are legal entities.

Member Entity:	Rush System for Health
Business Address:	1700 W. Van Buren St. Suite 301 Chicago, 60612 United States

SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS

A. Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

No

B. Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?

No

D. Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in Chapter 2-156 of the Municipal Code ("MCC")) in the Disclosing Party?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in MCC Chapter 2-156), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained or does it anticipate retaining any legal entities in connection with the Matter?

No

3. Has the Disclosing Party retained or does it anticipate retaining any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under MCC Section 2-92-415, substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

Not applicable because no person directly or indirectly owns 10% or more of the Disclosing Party

B. FURTHER CERTIFICATIONS

1. [This certification applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any Affiliated Entity has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e. an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

This matter is not a contract handled by the Department of Procurement Services

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

I certify the above to be true

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal,

state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;

c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC Chapter 2-56 (Inspector General) and Chapter 2-156 (Governmental Ethics).

I certify the above to be true

5. Neither the Disclosing Party, nor any Contractor, nor any Affiliated Entity of either the Disclosing Party or any Contractor, nor any Agents have, during the 5 years before the date of this EDS, or, with respect to a Contractor, an Affiliated Entity, or an Affiliated Entity of a Contractor during the 5 years before the date of such Contractor's or Affiliated Entity's contract or engagement in connection with the Matter:

a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
d. violated the provisions referenced in MCC Subsection 2-92-320(a)(4)(Contracts Requiring a Base Wage); (a)(5)(Debarment Regulations); or (a)(6)(Minimum Wage Ordinance).

I certify the above to be true

6. Neither the Disclosing Party, nor any Affiliated Entity or Contractor, or any of their employees, officials, agents or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of 720 ILCS 5/33E-3;
- bid-rotating in violation of 720 ILCS 5/33E-4; or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

7. Neither the Disclosing Party nor any Affiliated Entity is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

I certify the above to be true

8. [FOR APPLICANT ONLY]

i. Neither the Applicant nor any "controlling person" [see MCC Chapter 1-23, Article I for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency" ; and
ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If MCC Chapter 1-23, Article I applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

I certify the above to be true

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the federal System for Award Management ("SAM")

I certify the above to be true

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/ subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

11. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at

any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

I have a disclosure to make

List below the names of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago:

Name:	Sarah Mitacek
City Title:	Registry nurse at Cook County Hospital
Name:	Aaron Murdock
City Title:	Nurse coordinator
Name:	John Rogers
City Title:	Cook County Council, Economic adviser
Name:	Steven K Rothschild
City Title:	Chicago Board of Health member
Name:	John Sabl
City Title:	Lawyer for City of Chicago
Name:	John Salgado
City Title:	Chancellor for City Colleges of Chicago

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than $25 per recipient, or (iii) a political contribution otherwise duly reported as required by law.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies, as defined in MCC Section 2-32-455(b), the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in MCC Chapter 2-156 have the same meanings if used in this Part D.

1. In accordance with MCC Section 2-156-110: To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

Yes

A. CERTIFICATION REGARDING LOBBYING

1.a Are there any persons who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter?

No

1.c. Are there any legal entities who have made lobbying contacts on behalf of the Disclosing Party with respect to the Matter?

No

2. The Disclosing Party has not spent and will not expend any federally appropriated funds to pay any person or entity listed in paragraph A(1) above for his or her lobbying activities or to pay any person or entity to influence or attempt to influence an officer or employee of any agency, as defined by applicable federal law, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress, in connection with the award of any federally funded contract, making any federally funded grant or loan, entering into any cooperative agreement, or to extend, continue, renew, amend, or modify any federally funded contract, grant, loan, or cooperative agreement.

I certify to the above.

3. The Disclosing Party will submit an updated certification at the end of each calendar quarter in which there occurs any event that materially affects the accuracy of the statements and information set forth in paragraphs A(1) and A(2) above.

I certify to the above.

4. The Disclosing Party certifies that either:

i. it is not an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 or
ii. it is an organization described in section 501(c)(4) of the Internal Revenue Code of 1986 but has not engaged and will not engage in "Lobbying Activities," as that term is defined in the Lobbying Disclosure Act of 1995, as amended.

I certify to the above.

5. If the Disclosing Party is the Applicant, the Disclosing Party must obtain certifications equal in form and substance to paragraphs A(1) through A(4) above from all subcontractors before it awards any subcontract and the Disclosing Party must maintain all such subcontractors' certifications for the duration of the Matter and must make such certifications promptly available to the City upon request.

I certify to the above.

B. CERTIFICATION REGARDING EQUAL EMPLOYMENT OPPORTUNITY

If the Matter is federally funded, federal regulations require the Applicant and all proposed subcontractors to submit the following information with their bids or in writing at the outset of negotiations.

1. Have you developed and do you have on file affirmative action programs pursuant to applicable federal regulations? (See 41 CFR Part 60-2.)

Yes

2. Have you filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance Programs, or the Equal Employment Opportunity Commission all reports due under the applicable filing requirements?

Yes

3. Have you participated in any previous contracts or subcontracts subject to the equal opportunity clause?

Yes

SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION

The Disclosing Party understands and agrees that:

A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.

B. The City's Governmental Ethics Ordinance, MCC Chapter 2-156, imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at www.cityofchicago.org/Ethics, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/ or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.

D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended

to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Chapter 1-23, Article I (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by MCC Chapter 1-23 and Section 2-154-020.

I acknowledge and consent to the above

APPENDIX A - FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under MCC Section 2-154-015, the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all executive officers of the Disclosing Party listed in Section II.B.1.a, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief

financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

APPENDIX B - BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to MCC Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to MCC Section 2-92-416?

No

APPENDIX C-PROHIBITION ON WAGE & SALARY HISTORY SCREENING

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in MCC Section 2-92-385. That section, which should be consulted (www.amlegal.com), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to MCC Section 2-92-385, I hereby certify that the Applicant is in compliance with MCC Section 2-92-385(b)(1) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

This certification shall serve as the affidavit required by MCC Section 2-92-385(c)(1).

Yes

ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

None

List of vendor attachments uploaded by City staff

None.

List of attachments uploaded by vendor

None.

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 09/08/2022
Rachel Smith
Program Coordinator
Rush University Medical Center

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.