



**CITY OF CHICAGO**  
**ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT**  
**Related to Contract/Amendment/Solicitation**  
**EDS # 149596**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting the EDS:

AT&T Corp.

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

One AT&T Way  
Bedminster, NJ 07921-0752  
United States

C. Telephone:

312-364-6275

Fax:

D. Name of contact person:

Dominic Savone

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

TELECOMMUNICATION SERVICE: VOICE AND DATA NETWORK SERVICE

Which City agency or department is requesting this EDS?

DEPT OF PROCUREMENT SERVICES

Specification Number

37989

Contract (PO) Number

19393

Revision Number

Release Number

User Department Project Number

## **SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS**

### **A. NATURE OF THE DISCLOSING PARTY**

#### **1. Indicate the nature of the Disclosing Party:**

Other

Please specify the nature of your enterprise.

Communication Services Company including customer premise equipment (CPE)/ Telecom and systems intergration ; sale and serviceof systems

Is the Disclosing Party incorporated or organized in the State of Illinois?

No

State or foreign country of incorporation or organization:

New York

Registered to do business in the State of Illinois as a foreign entity?

Yes

### **B. DISCLOSING PARTY IS A LEGAL ENTITY:**

1.a.1 Does the Disclosing Party have any directors?

Yes

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

<b>Officer/Director:</b>	Frank Jules
<b>Title:</b>	President - Global Business Solutions
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Gerry R. Chicoine
<b>Title:</b>	Senior Vice President - Audit Services
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Thomas Harvey
<b>Title:</b>	Senior Vice President -Enhanced Client Services
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	William C. Huber
<b>Title:</b>	Senior Vice President -Technical field Services
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	J. Mark Schleyer
<b>Title:</b>	Senior Vice President - Corporate Real Estate
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Paul M. Wilson
<b>Title:</b>	Assistant Secretary
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Xavier D. Williams
<b>Title:</b>	Senior Vice President - Business Operations Officer
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Jim Bugel
<b>Title:</b>	Vice President - FirstNet State Plans and Acquisitions
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Abhi Ingle
<b>Title:</b>	Senior Vice President -Digital Distribution and Channel Marketing

<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Kevin Leonard
<b>Title:</b>	Vice President - Alternate Channels
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Randall Porter
<b>Title:</b>	Vice President - AT&T Partner Solutions
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Russ McFadden
<b>Title:</b>	Vice President - Portfolio Management and Transactions
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Adrienne Scott
<b>Title:</b>	Vice President - Wholesale Strategy and Business Development
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Chris Smith
<b>Title:</b>	Vice President - Global Public Sector-Technology
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Wayne A. Wirtz
<b>Title:</b>	Secretary
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Sandy Markiewicz
<b>Title:</b>	Executive Director - Customer Contracts
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Deborah R. Bierbaum
<b>Title:</b>	Assistant Secretary
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Michael R. Dacey
<b>Title:</b>	Assistant Secretary
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	David M. Eppsteiner
<b>Title:</b>	Assistant Secretary
<b>Role:</b>	Officer
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**Officer/Director:** Lawrence J. Lafaro  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** Elaine Lou  
**Title:** Assistant Treasurer  
**Role:** Officer

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**Officer/Director:** Robert Murphy  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** Sherri L. Bazan  
**Title:** Assistant Treasurer  
**Role:** Officer

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**Officer/Director:** Joelle J. Phillips  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** Richard A. Rocchini  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** Marilyn S. Spracker  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** James Talbot  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** Richard G. Vartain  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** Christopher Vrana  
**Title:** Assistant Secretary  
**Role:** Officer

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**Officer/Director:** Brad Walter  
**Title:** Assistant Secretary

<b>Role:</b>	Officer
<b>Officer/Director:</b>	Teresa G. Blizzard
<b>Title:</b>	Director - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Terry Britt
<b>Title:</b>	Director - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Jeffrey Chambers
<b>Title:</b>	Director - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Karen Diorio
<b>Title:</b>	Director - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Linda A. Fisher
<b>Title:</b>	Director - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Judith L. Lagarde
<b>Title:</b>	Director - Interconnection Agreements
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Fletcher Ricks
<b>Title:</b>	Director - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Vivian Swierc
<b>Title:</b>	Director - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Steven Shashack
<b>Title:</b>	Assistant Vice President - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Stacey W. Roth
<b>Title:</b>	Assistant Treasurer
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Jeanette Napp

<b>Title:</b>	Assistant Secretary
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Paul W. Stephens
<b>Title:</b>	Senior Vice President-Tax
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Debra L Dial
<b>Title:</b>	
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Anne Chow
<b>Title:</b>	President- National Business
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Stephen J Hodges
<b>Title:</b>	SVP: Business Customer Experience
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Veronica Bloodworth
<b>Title:</b>	SVP: Construction and Engineering
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Michael P Coffey
<b>Title:</b>	SVP: Global Delivery and Assurance
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Juan Flores
<b>Title:</b>	SVP: Technology Management and Operations
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mo Katibeh
<b>Title:</b>	Chief Marketing Officer
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. John J O'Connor
<b>Title:</b>	Director
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Mr. F. Thaddeus Arroyo
<b>Title:</b>	President and CEO
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Keith Korte
<b>Title:</b>	SVP Internet and Entertainment Field Services
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Scott Mair
<b>Title:</b>	SVP Technology Planning and Engineering
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Roman Pacewicz
<b>Title:</b>	SVP
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Alex Parker
<b>Title:</b>	SVP SLED
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Christopher Sambar
<b>Title:</b>	SVP AT&T FirstNet
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Stephen Stine
<b>Title:</b>	SVP and Chief Data Officer
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Joseph Tocco
<b>Title:</b>	SVP General Counsel
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Christopher Penrose
<b>Title:</b>	SVP IOT
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Dave Cundiff
<b>Title:</b>	VP Program Office
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mrs. Alicia Dietsch
<b>Title:</b>	VP Small Business Channel Marketing
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Matt Hickey
<b>Title:</b>	VP Public Sector Channel Marketing



<b>Role:</b>	Officer
<b>Officer/Director:</b>	Mr. John R Kiebonis
<b>Title:</b>	VP Global sector solutions
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Mrs. Danessa K Lambdin
<b>Title:</b>	VP Security Solutions
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Ken Lear
<b>Title:</b>	Vice President-Design & Construction
<b>Role:</b>	Officer
<b>Officer/Director:</b>	David Matsushima
<b>Title:</b>	Vice President & Assistant Secretary
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Steven Dale McHenry
<b>Title:</b>	Vice President-IP Transition
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Brian Paperny
<b>Title:</b>	Vice President-Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Anthony Robbins
<b>Title:</b>	Vice President-Global Public Sector-Defense
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Jill A. Singer
<b>Title:</b>	Vice President-Global Public Sector-National Security
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Robert Sloan
<b>Title:</b>	Vice President-AT&T FirstNet Chief Operating Officer
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Eric Weinbrom
<b>Title:</b>	Vice President-Finance
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Chris Zpevek

<b>Title:</b>	Vice President-Sales Operations
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Julianne Galloway
<b>Title:</b>	Chief Financial Officer and Treasurer
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Jennifer DeLaTorre
<b>Title:</b>	Assistant Treasurer
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Don Harris
<b>Title:</b>	Assistant Secretary-EH&S
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Barbara Monte
<b>Title:</b>	Assistant Secretary-Regulatory Accounting
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Marc Kron
<b>Title:</b>	Director GlobalTrade Policies and Empowered Official
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Jason Bunch
<b>Title:</b>	Executive Director-Payroll
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Rich Kurth
<b>Title:</b>	Executive Director-Customer Contracts
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Gary E. Johnson
<b>Title:</b>	Assistant Vice President-Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Barmak Meftah
<b>Title:</b>	President-AT&T Cybersecurity and CEO AlienVault
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Sorabh Saxena
<b>Title:</b>	President Global Operations and Services
<b>Role:</b>	Officer

<b>Officer/Director:</b>	Marachel L. Knight
<b>Title:</b>	SVP-Wireless Engineering, Construction and Operations
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	LaQuita C. Hall
<b>Title:</b>	VP-Global sector Solutions and Operations
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Shiraz Hasan
<b>Title:</b>	VP-Global Business Channel Marketing
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Bill Wehner
<b>Title:</b>	AVP-Accounting
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Michael Kay
<b>Title:</b>	AVP-Tax
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. William Fallica
<b>Title:</b>	Assistant Secretary-Security
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Kenneth H. Gitter
<b>Title:</b>	Assistant Secretary
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. Wayne K. Johnson
<b>Title:</b>	Assistant Treasurer
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mr. J. Stephen Sundby
<b>Title:</b>	Director-Corporate Real Estate
<b>Role:</b>	Director
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## 2. Ownership Information

Please provide ownership information concerning each person or entity that holds, or is anticipated to hold (see next paragraph), a direct or indirect beneficial interest in excess of 7.5% of the Applicant. Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in

a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Each legal entity below may be required to submit an EDS on its own behalf.

Please disclose present owners below. Please disclose anticipated owners in an attachment submitted through the "Additional Info" tab. "Anticipated owner" means an individual or entity in existence at the time application for City action is made, which is not an applicant or owner at such time, but which the applicant expects to assume a legal status, within six months of the time the City action occurs, that would render such individual or entity an applicant or owner if they had held such legal status at the time application was made.

- AT&T Inc. - 100.0% - EDS 149597

#### Owner Details

Name	Business Address
AT&T Inc.	One AT&T Way 208 S. Akard Street Dallas, TX 75202 United States

### **SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

A. Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

No

B. Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?

No

D. Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a financial interest (as defined in [Chapter 2-156 of the Municipal Code](#) ("MCC")) in the Disclosing Party?

No

## SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist (as defined in [MCC Chapter 2-156](#)), accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained or does it anticipate retaining any legal entities in connection with the Matter?

Yes

2. List below the names of all legal entities which are retained parties.

<b>Name:</b>	Archon Construction
<b>Anticipated/Retained:</b>	Anticipated
<b>Business Address:</b>	, IL United States
<b>Relationship:</b>	Subcontractor - MWDBE
<b>Fees (\$\$ or %):</b>	4.5%

<b>Estimated/Paid:</b>	Estimated
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<b>Name:</b>	Computer Resource Solution
<b>Anticipated/Retained:</b>	Anticipated
<b>Business Address:</b>	, United States
<b>Relationship:</b>	Subcontractor - MWDBE
<b>Fees (\$\$ or %):</b>	16.5%

<b>Estimated/Paid:</b>	Estimated
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3. Has the Disclosing Party retained or does it anticipate retaining any persons in connection with the Matter?

No

## SECTION V -- CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [MCC Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

No

### B. FURTHER CERTIFICATIONS

1. [This certification applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any [Affiliated Entity](#) has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e. an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

I am unable to certify the above to be true

Explain :

This certification is subject to the following qualification: The above certification is made by AT&T Corp. and its parent company AT&T Inc. to the best of their knowledge, after reasonably diligent research.

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

I am unable to certify the above to be true

Explain :

See Explanation for this section contained in Additional Info.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I am unable to certify the above to be true

Explain :

See Explanation for this section contained in Additional Info.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#).

I certify the above to be true

5. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#), nor any [Agents](#) have, during the 5 years before the date of this EDS, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an [Affiliated Entity](#) of a [Contractor](#) during the 5 years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion

- among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
  - d. violated the provisions referenced in [MCC Subsection 2-92-320\(a\)\(4\)\(Contracts Requiring a Base Wage\)](#); [\(a\)\(5\)\(Debarment Regulations\)](#); or [\(a\)\(6\)\(Minimum Wage Ordinance\)](#).

I am unable to certify the above to be true

Explain :

This certification is subject to the following qualification: The above certification is made by AT&T Corp. and its parent company AT&T Inc. to the best of their knowledge, after reasonably diligent research.

6. Neither the Disclosing Party, nor any [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I am unable to certify the above to be true

Explain :

AT&T Corp. is not barred from contracting with any unit of state or local government as a result of engaging in bid-rigging in violation of 720 ILCS 5/33E-3 or bid rotating in violation of 720 ILCS 5/33E-4. With respect to affiliated entities, agents or partners of AT&T, or the employees of such entities, AT&T Corp. makes such certification, to the best of its knowledge.

7. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

I am unable to certify the above to be true

Explain :

This certification is subject to the following qualification: The above certification is made by AT&T Corp. and its parent company AT&T Inc. to the best of their knowledge, after reasonably diligent research.

8. [FOR APPLICANT ONLY]



- i. Neither the Applicant nor any "controlling person" [[see MCC Chapter 1-23, Article I](#) for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency" ; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If [MCC Chapter 1-23, Article I](#) applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

I am unable to certify the above to be true

Explain :

This certification is subject to the following qualification: The above certification is made by AT&T Corp. and its parent company AT&T Inc. to the best of their knowledge, after reasonably diligent research.

9. [FOR APPLICANT ONLY] The Applicant and its Affiliated Entities will not use, nor permit their subcontractors to use, any facility listed as having an active exclusion by the U.S. EPA on the [federal System for Award Management](#) ("SAM")

I am unable to certify the above to be true

Explain :

This certification is subject to the following qualification: The above certification is made by AT&T Corp. and its parent company AT&T Inc. to the best of their knowledge, after reasonably diligent research.

10. [FOR APPLICANT ONLY] The Applicant will obtain from any contractors/ subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in Certifications (2) and (9) above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Applicant has reason to believe has not provided or cannot provide truthful certifications.

I am unable to certify the above to be true

Explain :

This certification is subject to the following qualification: The above certification is made by AT&T Corp. and its parent company AT&T Inc. to the best of their knowledge, after reasonably diligent research.

11. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies, as defined in [MCC Section 2-32-455\(b\)](#), the Disclosing Party

is not a "financial institution"

#### D. CERTIFICATION REGARDING FINANCIAL INTEREST IN CITY BUSINESS

Any words or terms defined in [MCC Chapter 2-156](#) have the same meanings if used in this Part D.

1. In accordance with [MCC Section 2-156-110](#): To the best of the Disclosing Party's knowledge after reasonable inquiry, does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of

investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I cannot make the above verification

The Disclosing Party verifies that, as a result of conducting the search above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records.

I make the above certification subject to the following clarification: The above certification regarding the absence of records of investments or profits from slavery is made on behalf of AT&T Corp and its parent company AT&T Inc. to the best of their knowledge, after reasonably diligent research, neither AT&T Corp nor AT&T Inc. has located records of investments or profits from slavery or slaveholder insurance policies during the slavery era.

## **SECTION VI -- CERTIFICATIONS FOR FEDERALLY FUNDED MATTERS**

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

## **SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION**

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, [MCC Chapter 2-156](#), imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Article I of [Chapter 1-23](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [MCC Chapter 1-23](#) and [Section 2-154-020](#).

I acknowledge and consent to the above

## **APPENDIX A - FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [MCC Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the

mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

## **APPENDIX B - BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Pursuant to [MCC Section 2-154-010](#), is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to [MCC Section 2-92-416??](#)

No

## **APPENDIX C-PROHIBITION ON WAGE & SALARY HISTORY SCREENING**

This Appendix is to be completed only by an Applicant that is completing this EDS as a "contractor" as defined in [MCC Section 2-92-385](#). That section, which should be consulted ([www.amlegal.com](http://www.amlegal.com)), generally covers a party to any agreement pursuant to which they: (i) receive City of Chicago funds in consideration for services, work or goods provided (including for legal or other professional services), or (ii) pay the City money for a license, grant or concession allowing them to conduct a business on City premises.

On behalf of an Applicant that is a contractor pursuant to [MCC Section 2-92-385](#), I hereby certify that the Applicant is in compliance with [MCC Section 2-92-385\(b\)\(1\)](#) and (2), which prohibit: (i) screening job applicants based on their wage or salary history, or (ii) seeking job applicants' wage or salary history from current or former employers. I also certify that the Applicant has adopted a policy that includes those prohibitions.

This certification shall serve as the affidavit required by [MCC Section 2-92-385\(c\)\(1\)](#).

N/A - I am not an Applicant that is a "contractor" as defined in MCC Section 2-92-385.

## ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

see attachment

List of vendor attachments uploaded by City staff

None.

List of attachments uploaded by vendor

Additional Info

## CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 02/24/2020  
Dominic Savone  
Sales Manager  
AT&T Corp.

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.

## Additional Information

AT&T Corp

### **Section V, Sub-Section B; Certification 2**

AT&T Corp is not delinquent in any tax administered by the Illinois Department of Revenue. To the best knowledge of AT&T Corp neither AT&T Corp nor AT&T Inc. have any material outstanding balances owed to the City of Chicago in the form of fines, fees, taxes or other forms of indebtedness.

### **Section V, Sub-Section B; Certification 3.**

AT&T Corp makes each of the foregoing certifications, to the best of its knowledge, subject to the following exceptions:

**Sub-Section (d).** To the best of its knowledge, AT&T Corp is not aware of any public transaction (public, state or federal) that has been terminated for cause or default during the 5 year period before this EDS. However, given the scope of AT&T Corp's activities in the public sector market segment, AT&T Corp cannot state with absolute certainty whether any public contract has been terminated for cause or default. Notwithstanding the foregoing, AT&T Corp is not aware of any public contract that was terminated for cause or default which would materially or adversely impact AT&T Corp's ability to perform services for the City of Chicago.

**Sub-Section (e)** As part of a large organization, AT&T Corp is and has been, a party in civil actions and proceedings. With the exception of routine administrative matters, within the 5-year period preceding the date of this EDS, to the best of its knowledge, after reasonably inquiry, AT&T Corp has not been convicted, adjudged guilty or found liable in any criminal or civil instituted by: i) the City of Chicago; ii) the federal government; iii) any state; or iv) any local unit of government. For purposes of this response, AT&T Corp is construing the term " criminal or civil action" as referring only to an actual suit filed in a court of competent jurisdiction within the US.

### **Section V, Subsection B; Certification 6**

Neither AT&T Corp nor any affiliated entity is barred from contracting with any unit of state or local government as a result of engaging in bid-rigging in violation of 720 ILCS 5/33E-3 or bid rotating in violation of 720 ILCS 5/33E-4. With respect to AT&T Corp and a Contractor's employees, officials, agents or partners, AT&T Corp makes such certification to the best of its knowledge.



**CITY OF CHICAGO**  
**ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT**  
**Related to Contract/Amendment/Solicitation**  
**EDS # 149597**

**SECTION I -- GENERAL INFORMATION**

A. Legal name of the Disclosing Party submitting the EDS:

AT&T Inc.

Enter d/b/a if applicable:

A Legal entity holding a direct or indirect interest in the Applicant.

The Disclosing Party submitting this EDS is:

a legal entity currently holding an interest in the Applicant

The Disclosing Party holds an interest in

AT&T Corp. and EDS is 149596

B. Business address of the Disclosing Party:

One AT&T Way  
208 S. Akard Street  
Dallas, TX 75202  
United States

C. Telephone:

312-364-6275

Fax:

312-364-6275

D. Name of contact person:



Mr. Dominic Savone

## SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

### A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Other

Please specify the nature of your enterprise.

Telecommunications holding company

Is the Disclosing Party incorporated or organized in the State of Illinois?

No

State or foreign country of incorporation or organization:

Delaware

Registered to do business in the State of Illinois as a foreign entity?

No

### B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

Yes

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

<b>Officer/Director:</b>	Randall L. Stephenson
<b>Title:</b>	Chairman of the Board
<b>Role:</b>	Both

<b>Officer/Director:</b>	Michael B. McCallister
<b>Title:</b>	Director
<b>Role:</b>	Director

<b>Officer/Director:</b>	Scott T. Ford
<b>Title:</b>	Director

<b>Role:</b>	Officer
<b>Officer/Director:</b>	Matthes K. Rose
<b>Title:</b>	Director
<b>Role:</b>	Director
<b>Officer/Director:</b>	Laura D'Andrea Tyson
<b>Title:</b>	Director
<b>Role:</b>	Director
<b>Officer/Director:</b>	Randall L. Stephenson
<b>Title:</b>	Chief Executive Officer and President
<b>Role:</b>	Both
<b>Officer/Director:</b>	William A. Blase Jr
<b>Title:</b>	Senior Executive Vice President - Human Resources
<b>Role:</b>	Officer
<b>Officer/Director:</b>	John J. Stephens
<b>Title:</b>	Senior Executive Vice President and Chief Financial Officer
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Gerry R. Chicoine
<b>Title:</b>	Senior Vice President- Audit Services
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Lawrence J. Ruzicka
<b>Title:</b>	Senior Vice President - Tax
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Paul W. Stephens
<b>Title:</b>	Senior Vice President and Tax Officer
<b>Role:</b>	Officer
<b>Officer/Director:</b>	George B. Goeke
<b>Title:</b>	Senior Vice President and Treasurer
<b>Role:</b>	Officer
<b>Officer/Director:</b>	Wayne A. Wirtz
<b>Title:</b>	Vice President-Associate General Counsel and Assistant Secretary
<b>Role:</b>	Officer

<b>Officer/Director:</b>	Beth E. Mooney
<b>Title:</b>	
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Joyce M. Roche
<b>Title:</b>	
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Cynthia B. Taylor
<b>Title:</b>	
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Glenn H Hutchins
<b>Title:</b>	Director
<b>Role:</b>	Director
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<b>Officer/Director:</b>	William E. Kennard
<b>Title:</b>	Director
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Michael J. Viola
<b>Title:</b>	Senior Vice President - Investor Relations
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Stacey Maris
<b>Title:</b>	Senior Vice President and Assistant General Counsel and Secretary
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Samuel A. Di Piazza Jr
<b>Title:</b>	Director
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Richard W. Fisher
<b>Title:</b>	Director
<b>Role:</b>	Director
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<b>Officer/Director:</b>	Geoffrey Y. Yang
<b>Title:</b>	Director
<b>Role:</b>	Director
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<b>Officer/Director:</b>	David S. Huntley

<b>Title:</b>	Sr. Executive VP & Chief Compliance Officer
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	David R. McAtee
<b>Title:</b>	Sr. Executive VP and General Council
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Debra L. Dial
<b>Title:</b>	Sr. VP and Controller
<b>Role:</b>	Officer
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<b>Officer/Director:</b>	Mrs. Julianne K Galloway
<b>Title:</b>	Vice President and Assistant Treasurer
<b>Role:</b>	Officer
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## 2. Ownership Information

Please confirm ownership information concerning each person or entity that having a direct or indirect beneficial interest in excess of 7.5% of the Disclosing Party (your entity). Examples of such an interest include shares in a corporation, partnership interest in a partnership or joint venture, interest of a member or manager in a limited liability company, or interest of a beneficiary of a trust, estate, or other similar entity. Note: Each legal entity below may be required to submit an EDS on its own behalf.

As reported by the Disclosing Party, the immediate owner(s) of the Disclosing Party is/are listed below:

There are no owners with greater than 7.5 percent ownership in the Disclosing Party.

## **SECTION III -- INCOME OR COMPENSATION TO, OR OWNERSHIP BY, CITY ELECTED OFFICIALS**

A. Has the Disclosing Party provided any income or compensation to any City elected official during the 12-month period preceding the date of this EDS?

No

B. Does the Disclosing Party reasonably expect to provide any income or compensation to any City elected official during the 12-month period following the date of this EDS?

No

D. Does any City elected official or, to the best of the Disclosing Party's knowledge after reasonable inquiry, any City elected official's spouse or domestic partner, have a

financial interest (as defined in [Chapter 2-156 of the Municipal Code](#) ("MCC")) in the Disclosing Party?

No

## SECTION V -- CERTIFICATIONS

### A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [MCC Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

Not applicable because no person directly or indirectly owns 10% or more of the Disclosing Party

### B. FURTHER CERTIFICATIONS

1. [This certification applies only if the Matter is a contract being handled by the City's Department of Procurement Services.] In the 5-year period preceding the date of this EDS, neither the Disclosing Party nor any [Affiliated Entity](#) has engaged, in connection with the performance of any public contract, the services of an integrity monitor, independent private sector inspector general, or integrity compliance consultant (i.e. an individual or entity with legal, auditing, investigative, or other similar skills, designated by a public agency to help the agency monitor the activity of specified agency vendors as well as help the vendors reform their business practices so they can be considered for agency contracts in the future, or continue with a contract in progress).

I am unable to certify the above to be true

Explain :

AT&T certifies subject to the following: The above certification is made on behalf of AT&T Inc. to the best of AT&T's knowledge, after reasonably diligent research.

2. The Disclosing Party and its Affiliated Entities are not delinquent in the payment of any fine, fee, tax or other source of indebtedness owed to the City of Chicago, including, but not limited to, water and sewer charges, license fees, parking tickets, property taxes and sales taxes, nor is the Disclosing Party delinquent in the payment of any tax administered by the Illinois Department of Revenue.

I am unable to certify the above to be true

Explain :

AT&T certifies subject to the following: The above certification is made on behalf of AT&T Inc. to the best of AT&T's knowledge, after reasonably diligent research. AT&T is a large corporation with many entities. We are unable to certify that every fee has been paid to date. To the best of our knowledge, AT&T does not have any significant outstanding balances owed to the City.

3. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II(B)(1) of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, during the 5 years before the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in subparagraph (b) above;
- d. have not, during the 5 years before the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, during the 5 years before the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I am unable to certify the above to be true

Explain :

AT&T agrees with and certifies sub V-B-3 above, to the best of its knowledge, subject to the following exceptions (e) See Explanation for this Section set out in ADDITIONAL INFO Section V.

4. The Disclosing Party understands and shall comply with the applicable requirements of MCC [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#).

I certify the above to be true

5. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#), nor any [Agents](#) have, during the 5 years

before the date of this EDS, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an [Affiliated Entity](#) of a [Contractor](#) during the 5 years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
- c. made an admission of such conduct described in subparagraph (a) or (b) above that is a matter of record, but have not been prosecuted for such conduct; or
- d. violated the provisions referenced in [MCC Subsection 2-92-320\(a\)\(4\)\(Contracts Requiring a Base Wage\)](#); [\(a\)\(5\)\(Debarment Regulations\)](#); or [\(a\)\(6\)\(Minimum Wage Ordinance\)](#).

I am unable to certify the above to be true

Explain :

AT&T certifies subject to the following: The above certification is made on behalf of AT&T Inc. to the best of AT&T's knowledge, after reasonably diligent research.

6. Neither the Disclosing Party, nor any [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I am unable to certify the above to be true

Explain :

See Explanation for this Section set out in ADDITIONAL INFO.

7. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on a Sanctions List maintained by the United States Department of Commerce, State, or Treasury, or any successor federal agency.

I am unable to certify the above to be true

Explain :

AT&T certifies subject to the following: The above certification is made on behalf of AT&T Inc. to the best of AT&T's knowledge, after reasonably diligent research.

#### 8. [FOR APPLICANT ONLY]

- i. Neither the Applicant nor any "controlling person" [[see MCC Chapter 1-23, Article I](#) for applicability and defined terms] of the Applicant is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any "sister agency" ; and
- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If [MCC Chapter 1-23, Article I](#) applies to the Applicant, that Article's permanent compliance timeframe supersedes 5-year compliance timeframes in this Section V.

Not applicable because disclosing party is not the Applicant

11. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

12. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$25 per recipient, or (iii) a political contribution otherwise duly reported as required by law.

None

#### C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies, as defined in [MCC Section 2-32-455\(b\)](#), the Disclosing Party

is not a "financial institution"

#### E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS



If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I cannot make the above verification

The Disclosing Party verifies that, as a result of conducting the search above, the Disclosing Party has found records of investments or profits from slavery or slaveholder insurance policies. The Disclosing party verifies that the following constitutes full disclosure of all such records, including the names of any and all slaves or slaveholders described in those records.

AT&T certifies subject to the following: The above certification is made on behalf of AT&T Inc. to the best of AT&T's knowledge, after reasonably diligent research have not found any records of investments or profits from slavery or slaveholder insurance policies during the slavery era.

## **SECTION VII - FURTHER ACKNOWLEDGMENTS AND CERTIFICATION**

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics Ordinance, [MCC Chapter 2-156](#), imposes certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. The full text of this ordinance and a training program is available on line at [www.cityofchicago.org/Ethics](http://www.cityofchicago.org/Ethics), and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with this ordinance.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other City transactions. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided in, and appended to, this EDS may be made publicly available on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.
- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to MCC Article I of [Chapter 1-23](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [MCC Chapter 1-23](#) and [Section 2-154-020](#).

I acknowledge and consent to the above

## **APPENDIX A - FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5%. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [MCC Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption:

parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5% ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

## **APPENDIX B - BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION**

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5% (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Pursuant to [MCC Section 2-154-010](#), is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to [MCC Section 2-92-416??](#)

No

## **ADDITIONAL INFO**

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

SBC Global Services, the Applicant, has provided this information in a simultaneous filing.

List of attachments uploaded by vendor

additional info  
Section V additional info

## **CERTIFICATION**

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 02/19/2020  
Mr. Dominic Savone  
Sales Manager  
AT&T Inc.

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.

(1) Section V, Sub-Section B, Further Certifications, Items 3 and 6:

AT&T certifies subject to the following: With respect to the persons identified in Section 11.B.1 of this EDS, AT&T Inc. makes these certifications on information and belief. Specifically, the officers and employees of AT&T are required to complete a code of business conduct certification annually. AT&T is a global company with a national presence. As such, AT&T is subject to local, state and federal rules and regulations in several jurisdictions, in addition to the City of Chicago. As a large corporate entity, AT&T is, and has been, a party in numerous civil actions and proceedings. With the exception of routine administrative matters, within the five-year period preceding the date of this EDS, to the best of its knowledge, after reasonably diligent research, AT&T. has not been convicted, adjudged guilty, or found liable in any criminal or civil action instituted by a) the City of Chicago, b) the federal government, c) the State of Illinois or any other state, or d) any other unit of local government. In this response, AT&T.is construing the term "criminal and civil action" as referring only to an actual suit filed in a court of competent jurisdiction within the United States of America.

Additionally, AT&T is not aware of any matter which would affect its ability to deliver the Services to the City as agreed to pursuant to the Master Agreement between AT&T and the City. AT&T. is not aware of any other materials that might be classified as "civil proceedings" for purposes of this EDS.

(2) Section V, Sub-Section B, Further Certifications, Item 3, sub-section d:

In regard to Section 3.d, AT&T Inc., to the best of its knowledge, information, and belief, is not aware of any public contracts that have been terminated for cause or default in the past 5 years that would have any impact on AT&T's ability to provide services to the City of Chicago.

### **Section V, Sub-Section B; Certification 3.**

AT&T makes each of the foregoing certifications, to the best of its knowledge, subject to the following exceptions:

**Subsection (d).** To the best of its knowledge, AT&T is not aware of any public transaction (public, state or federal) that has been terminated for cause or default during the 5 year period before this EDS. However, given the scope of AT&T's activities in the public sector market segment, AT&T cannot state with absolute certainty whether any public contract has been terminated for cause or default. Notwithstanding the foregoing, AT&T is not aware of any public contract that was terminated for cause or default which would materially or adversely impact AT&T's ability to perform services for the City of Chicago.

**Subsection (e).** As a large corporate entity, AT&T is, and has been, a party in numerous civil actions and proceedings. With the exception of routine administrative matters, within the 5-year period preceding the date of this EDS, to the best of its knowledge, after reasonable inquiry, AT&T has not been convicted, adjudged guilty or found liable in any criminal or civil action instituted by: i) the City of Chicago; ii) the federal government; iii) any state; or iv) any local unit of government. For purposes of this response, AT&T is construing the term "criminal or civil action" as referring only to an actual suit filed in a court of competent jurisdiction within the US.

### **Section V, Subsection B; Certification 6.**

AT&T makes to foregoing certification with respect to itself and its parent corporation, AT&T Inc. With respect to Contractors and AT&T's

and a Contractor's employees, officials, agents or partners, AT&T makes such certification to the best of its knowledge.