



CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT and AFFIDAVIT
Related to Contract/Amendment/Solicitation
EDS # 105861

SECTION I -- GENERAL INFORMATION

A. Legal name of the Disclosing Party submitting the EDS:

Rush University Medical Center

Enter d/b/a if applicable:

The Disclosing Party submitting this EDS is:

the Applicant

B. Business address of the Disclosing Party:

1653 W. Congress Parkway
office 437 JRB
Chicago, IL 60612
United States

C. Telephone:

312-942-5000

Fax:

312-942-2549

D. Name of contact person:

Rachel Smith

F. Brief description of contract, transaction or other undertaking (referred to below as the "Matter") to which this EDS pertains:

OLDER AMERICANS ACT - SENIOR SERVICES - HEALTH AND WELLNESS
PROMOTION

Which City agency or department is requesting this EDS?

DEPT OF HEALTH

Specification Number

131743

Contract (PO) Number

52589

Revision Number

Release Number

User Department Project Number

SECTION II -- DISCLOSURE OF OWNERSHIP INTERESTS

A. NATURE OF THE DISCLOSING PARTY

1. Indicate the nature of the Disclosing Party:

Not-for-profit corporation

Is the Disclosing Party also a 501(c)(3) organization?

Yes

Is the Disclosing Party incorporated or organized in the State of Illinois?

Yes

B. DISCLOSING PARTY IS A LEGAL ENTITY:

1.a.1 Does the Disclosing Party have any directors?

Yes

1.a.3 List below the full names and titles of all executive officers and all directors, if any, of the entity. Do not include any directors who have no power to select the entity's officers.

Officer/Director: Ms. Susan Crown

Title: Vice Chairman

Role: Director

Officer/Director: Dr. Larry J. Goodman

Title: CEO, RUMC; President, Rush University

Role: Both

Officer/Director: Mr. William M. Goodyear

Title: Chairman

Role: Director

Officer/Director: Mr. John L. Brennan

Title: Trustee

Role: Director

Officer/Director: Ms. Marca Bristo

Title: Trustee

Role: Director

Officer/Director: Mr. Peter C.B. Bynoe

Title: Trustee

Role: Director

Officer/Director: Mr. E. David Coolidge III

Title: Trustee

Role: Director

Officer/Director: Mr. James W. DeYoung

Title: Vice Chairman

Role: Director

Officer/Director: Mr. William Downe

Title: Trustee

Role: Director

Officer/Director: Mr. Bruce W. Duncan

Title: Trustee

Role:	Director
Officer/Director:	Ms. Christine A. Edwards
Title:	Trustee
Role:	Director
Officer/Director:	Mr. Larry Field
Title:	Trustee
Role:	Director
Officer/Director:	Mr. Robert F. Finke
Title:	Trustee
Role:	Director
Officer/Director:	Mr. William J. Friend
Title:	Trustee
Role:	Director
Officer/Director:	Mr. Ronald J. Gidwitz
Title:	Trustee
Role:	Director
Officer/Director:	Mr. H. John Gilbertson
Title:	Trustee
Role:	Director
Officer/Director:	Ms. Sandra P. Guthman
Title:	Trustee
Role:	Director
Officer/Director:	Mr. William J. Hagenah
Title:	Trustee
Role:	Director
Officer/Director:	Mr. William K Hall
Title:	Trustee
Role:	Director
Officer/Director:	Ms. Christie Hefner
Title:	Trustee
Role:	Director
Officer/Director:	Ms. Marcie B. Hemmelstein

Title:	Trustee
Role:	Director

Officer/Director:	Mr. Jay L. Henderson
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Marvin J. Herb
Title:	Trustee
Role:	Director

Officer/Director:	Mr. John W. Higgins
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Ron Huberman
Title:	Trustee
Role:	Director

Officer/Director:	Mr. John P. Keller
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Kip Kirkpatrick
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Fred A. Krehbiel
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Sheldon Lavin
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Aylwin B. Lewis
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Andrew J. McKenna Jr
Title:	Trustee
Role:	Director

Officer/Director: Mr. Wayne L. Moore

Title: Trustee

Role: Director

Officer/Director: Mr. Michael J. O'Connor

Title: Trustee

Role: Director

Officer/Director: Mr. William H. Osborne

Title: Trustee

Role: Director

Officer/Director: Aurie A. Pennick

Title: Trustee

Role: Director

Officer/Director: Ms. Sheila A. Penrose

Title: Trustee

Role: Director

Officer/Director: Mr. Perry R. Pero

Title: Trustee

Role: Director

Officer/Director: Mr. Stephen N. Potter

Title: Trustee

Role: Director

Officer/Director: Mr. John W. Rogers Jr

Title: Trustee

Role: Director

Officer/Director: Mr. Jesse H. Ruiz

Title: Trustee

Role: Director

Officer/Director: Mr. John J. Sabl

Title: Trustee

Role: Director

Officer/Director: Mr. John F. Sandner

Title: Trustee

Role:	Director

Officer/Director:	Mr. Gloria Santona
Title:	Trustee
Role:	Director

Officer/Director:	Mrs. Carole B Segal
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Alejandro Silva
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Carl W. Stern
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Charles A. Tribbett III
Title:	Trustee
Role:	Director

Officer/Director:	Mr. John R. Willis
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Thomas J. Wilson
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Robert A. Wislow
Title:	Trustee
Role:	Director

Officer/Director:	Dr. Barbara Jil Wu
Title:	Trustee
Role:	Director

Officer/Director:	Ms. Susan R Lichtenstein
Title:	Trustee
Role:	Director

Officer/Director:	Mr. Gary E McCullough

Title:	Trustee
Role:	Director
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Officer/Director:	Mr. Richard S Price
Title:	Trustee
Role:	Director
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Officer/Director:	Mr. Eric A Reeves
Title:	Trustee
Role:	Director
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Officer/Director:	Mr. Thomas E Richards
Title:	Trustee
Role:	Director
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Officer/Director:	Mr. James A. Bell
Title:	Trustee
Role:	Director
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Officer/Director:	Ms. Francesca Maher Edwardson
Title:	Trustee
Role:	Director
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Officer/Director:	Mr. Greg Welch
Title:	Trustee
Role:	Director
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Officer/Director:	Ms. Karen B Case
Title:	Trustee
Role:	Director
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Officer/Director:	Ms. Kelly McNamara Corley
Title:	Trustee
Role:	Director
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Officer/Director:	Dr. Charles L Evans
Title:	Trustee
Role:	Director
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Officer/Director:	Ms. Pamela Forbes Lieberman
Title:	Trustee
Role:	Director
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Officer/Director: Mr. Robert A. Mariano
Title: Trustee
Role: Director

Officer/Director: Mr. James S Metcalf
Title: Trustee
Role: Director

Officer/Director: Mr. William A Mynatt Jr
Title: Trustee
Role: Director

Officer/Director: Mr. Martin H Nesbitt
Title: Trustee
Role: Director

Officer/Director: Mr. John L Howard
Title: Trustee
Role: Director

Officer/Director: P. Kasper Jakobsen
Title: Trustee
Role: Director

Officer/Director: E. Scott Santi
Title: Trustee
Role: Director

Officer/Director: Ms. Jennifer W. Steans
Title: Trustee
Role: Director

Officer/Director: Ms. Joan E Steel
Title: Trustee
Role: Director

Officer/Director: Mr. Jonathan W. Thayer
Title: Trustee
Role: Director

Officer/Director: Mr. Richard M Jaffee
Title: Trustee

Role:	Director
Officer/Director:	Mr. Donald G Lubin
Title:	Vice Chair
Role:	Director
Officer/Director:	Mr. Matthew J Boler
Title:	Trustee
Role:	Director
Officer/Director:	Mr. Peter W Butler
Title:	President
Role:	Officer
Officer/Director:	Mr. Michael J Dandorph
Title:	EVP & COO
Role:	Officer
Officer/Director:	Mr. Thomas A Deutsch
Title:	Provost, Rush University
Role:	Officer
Officer/Director:	Mr. David A Ansell
Title:	SVP, System Integration
Role:	Officer
Officer/Director:	Ms. Cynthia Barginere
Title:	SVP & COO, Rush University Hospitals
Role:	Officer
Officer/Director:	Mr. Brent J Estes
Title:	SVP, Business & Network Development
Role:	Officer
Officer/Director:	Ms. Diane M McKeever
Title:	SVP, Philanthropy
Role:	Officer
Officer/Director:	Mr. John P Mordach
Title:	SVP, Finance & CFO
Role:	Officer
Officer/Director:	Mrs. Anne M Murphy

Title:	SVP, Legal Affairs & General Counsel
Role:	Officer

Officer/Director:	Ms. Mary Ellen Schopp
Title:	SVP, Human Resources
Role:	Officer

Officer/Director:	Mr. Lac Van Tran
Title:	SVP, Information Services
Role:	Officer

Officer/Director:	Mr. Todd Lillibridge
Title:	Trustee
Role:	Director

Officer/Director:	Mr. K. Ranga Rama Krishnan, MB, ChB
Title:	SVP; Dean, Rush Medical College
Role:	Officer

Officer/Director:	Paul E. Martin
Title:	Member
Role:	Officer

1.a.5 Are there any members of the non-for-profit Disclosing Party which are legal entities?

No

SECTION III -- BUSINESS RELATIONSHIPS WITH CITY ELECTED OFFICIALS

Has the Disclosing Party had a "business relationship," as defined in [Chapter 2-156 of the Municipal Code](#), with any City elected official in the 12 months before the date this EDS is signed?

No

SECTION IV -- DISCLOSURE OF SUBCONTRACTORS AND OTHER RETAINED PARTIES

The Disclosing Party must disclose the name and business address of each subcontractor, attorney, lobbyist, accountant, consultant and any other person or entity whom the Disclosing Party has retained or expects to retain in connection with the

Matter, as well as the nature of the relationship, and the total amount of the fees paid or estimated to be paid. The Disclosing Party is not required to disclose employees who are paid solely through the Disclosing Party's regular payroll.

"Lobbyist" means any person or entity who undertakes to influence any legislative or administrative action on behalf of any person or entity other than: (1) a not-for-profit entity, on an unpaid basis, or (2) himself. "Lobbyist" also means any person or entity any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

If the Disclosing Party is uncertain whether a disclosure is required under this Section, the Disclosing Party must either ask the City whether disclosure is required or make the disclosure.

1. Has the Disclosing Party retained any legal entities in connection with the Matter?

No

3. Has the Disclosing Party retained any persons in connection with the Matter?

No

SECTION V -- CERTIFICATIONS

A. COURT-ORDERED CHILD SUPPORT COMPLIANCE

Under [Municipal Code Section 2-92-415](#), substantial owners of business entities that contract with the City must remain in compliance with their child support obligations throughout the contract's term.

Has any person who directly or indirectly owns 10% or more of the Disclosing Party been declared in arrearage of any child support obligations by any Illinois court of competent jurisdiction?

Not applicable because no person directly or indirectly owns 10% or more of the Disclosing Party

B. FURTHER CERTIFICATIONS

1. Pursuant to [Municipal Code Chapter 1-23](#), Article I ("Article I")(which the Applicant should consult for defined terms (e.g., "doing business") and legal requirements), if the Disclosing Party submitting this EDS is the Applicant and is doing business with the City, then the Disclosing Party certifies as follows:

- i. neither the Applicant nor any controlling person is currently indicted or charged with, or has admitted guilt of, or has ever been convicted of, or placed under

supervision for, any criminal offense involving actual, attempted, or conspiracy to commit bribery, theft, fraud, forgery, perjury, dishonesty or deceit against an officer or employee of the City or any sister agency; and

- ii. the Applicant understands and acknowledges that compliance with Article I is a continuing requirement for doing business with the City.

NOTE: If Article I applies to the Applicant, the permanent compliance timeframe in Article I supersedes some five-year compliance timeframes in certifications 2 and 3 below.

I certify the above to be true

2. The Disclosing Party and, if the Disclosing Party is a legal entity, all of those persons or entities identified in Section II.B.1. of this EDS:

- a. are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from any transactions by any federal, state or local unit of government;
- b. have not, within a five-year period preceding the date of this EDS, been convicted of a criminal offense, adjudged guilty, or had a civil judgment rendered against them in connection with: obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; a violation of federal or state antitrust statutes; fraud; embezzlement; theft; forgery; bribery; falsification or destruction of records; making false statements; or receiving stolen property;
- c. are not presently indicted for, or criminally or civilly charged by, a governmental entity (federal, state or local) with committing any of the offenses set forth in clause B.2.b. of this Section V;
- d. have not, within a five-year period preceding the date of this EDS, had one or more public transactions (federal, state or local) terminated for cause or default; and
- e. have not, within a five-year period preceding the date of this EDS, been convicted, adjudged guilty, or found liable in a civil proceeding, or in any criminal or civil action, including actions concerning environmental violations, instituted by the City or by the federal government, any state, or any other unit of local government.

I certify the above to be true

3. Neither the Disclosing Party, nor any [Contractor](#), nor any [Affiliated Entity](#) of either the Disclosing Party or any [Contractor](#) nor any [Agents](#) have, during the five years before the date this EDS is signed, or, with respect to a [Contractor](#), an [Affiliated Entity](#), or an [Affiliated Entity](#) of a [Contractor](#) during the five years before the date of such [Contractor's](#) or [Affiliated Entity's](#) contract or engagement in connection with the Matter:

- a. bribed or attempted to bribe, or been convicted or adjudged guilty of bribery or attempting to bribe, a public officer or employee of the City, the State of Illinois, or

- any agency of the federal government or of any state or local government in the United States of America, in that officer's or employee's official capacity;
- b. agreed or colluded with other bidders or prospective bidders, or been a party to any such agreement, or been convicted or adjudged guilty of agreement or collusion among bidders or prospective bidders, in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. made an admission of such conduct described in a. or b. above that is a matter of record, but have not been prosecuted for such conduct; or
 - d. violated the provisions of [Municipal Code Section 2-92-610 \(Living Wage Ordinance\)](#).

I certify the above to be true

4. Neither the Disclosing Party, [Affiliated Entity](#) or [Contractor](#), or any of their employees, officials, [agents](#) or partners, is barred from contracting with any unit of state or local government as a result of engaging in or being convicted of

- bid-rigging in violation of [720 ILCS 5/33E-3](#);
- bid-rotating in violation of [720 ILCS 5/33E-4](#); or
- any similar offense of any state or of the United States of America that contains the same elements as the offense of bid-rigging or bid-rotating.

I certify the above to be true

5. Neither the Disclosing Party nor any [Affiliated Entity](#) is listed on any of the following lists maintained by the Office of Foreign Assets Control of the U.S. Department of the Treasury or the Bureau of Industry and Security of the U.S. Department of Commerce or their successors: the [Specially Designated Nationals List](#), the [Denied Persons List](#), the [Unverified List](#), the [Entity List](#) and the [Debarred List](#).

I certify the above to be true

6. The Disclosing Party understands and shall comply with the applicable requirements of [Chapters 2-55 \(Legislative Inspector General\)](#), [Chapter 2-56 \(Inspector General\)](#) and [Chapter 2-156 \(Governmental Ethics\)](#) of the Municipal Code.

I certify the above to be true

7. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all current employees of the Disclosing Party who were, at any time during the 12-month period preceding the execution date of this EDS, an employee, or elected or appointed official, of the City of Chicago.

None

8. To the best of the Disclosing Party's knowledge after reasonable inquiry, the following is a complete list of all gifts that the Disclosing Party has given or caused to be given, at any time during the 12-month period preceding the execution date of this EDS, to an employee, or elected or appointed official, of the City of Chicago. For purposes of this statement, a "gift" does not include: (i) anything made generally available to City employees or to the general public, or (ii) food or drink provided in the course of official City business and having a retail value of less than \$20 per recipient.

None

C. CERTIFICATION OF STATUS AS FINANCIAL INSTITUTION

The Disclosing Party certifies that, as defined in [Section 2-32-455\(b\) of the Municipal Code](#), the Disclosing Party

is not a "financial institution"

D. CERTIFICATION REGARDING INTEREST IN CITY BUSINESS

Any words or terms that are defined in [Chapter 2-156 of the Municipal Code](#) have the same meanings when used in this Part D.

1. In accordance with [Section 2-156-110 of the Municipal Code](#): Does any official or employee of the City have a financial interest in his or her own name or in the name of any other person or entity in the Matter?

No

E. CERTIFICATION REGARDING SLAVERY ERA BUSINESS

If the Disclosing Party cannot make this verification, the Disclosing Party must disclose all required information in the space provided below or in an attachment in the "Additional Info" tab. Failure to comply with these disclosure requirements may make any contract entered into with the City in connection with the Matter voidable by the City.

The Disclosing Party verifies that the Disclosing Party has searched any and all records of the Disclosing Party and any and all predecessor entities regarding records of investments or profits from slavery or slaveholder insurance policies during the slavery era (including insurance policies issued to slaveholders that provided coverage for damage to or injury or death of their slaves), and the Disclosing Party has found no such records.

I can make the above verification

SECTION VI -- CERTIFICATIONS FOR FEDERALLY-FUNDED MATTERS

Is the Matter federally funded? For the purposes of this Section VI, tax credits allocated by the City and proceeds of debt obligations of the City are not federal funding.

No

SECTION VII -- ACKNOWLEDGMENTS, CONTRACT INCORPORATION, COMPLIANCE, PENALTIES, DISCLOSURE

The Disclosing Party understands and agrees that:

- A. The certifications, disclosures, and acknowledgments contained in this EDS will become part of any contract or other agreement between the Applicant and the City in connection with the Matter, whether procurement, City assistance, or other City action, and are material inducements to the City's execution of any contract or taking other action with respect to the Matter. The Disclosing Party understands that it must comply with all statutes, ordinances, and regulations on which this EDS is based.
- B. The City's Governmental Ethics and Campaign Financing Ordinances, [Chapters 2-156](#) and [2-164](#) of the Municipal Code, impose certain duties and obligations on persons or entities seeking City contracts, work, business, or transactions. A training program is available on line at www.cityofchicago.org/city/en/depts/ethics.html, and may also be obtained from the City's Board of Ethics, 740 N. Sedgwick St., Suite 500, Chicago, IL 60610, (312) 744-9660. The Disclosing Party must comply fully with the applicable ordinances.

I acknowledge and consent to the above

The Disclosing Party understands and agrees that:

- C. If the City determines that any information provided in this EDS is false, incomplete or inaccurate, any contract or other agreement in connection with which it is submitted may be rescinded or be void or voidable, and the City may pursue any remedies under the contract or agreement (if not rescinded or void), at law, or in equity, including terminating the Disclosing Party's participation in the Matter and/or declining to allow the Disclosing Party to participate in other transactions with the City. Remedies at law for a false statement of material fact may include incarceration and an award to the City of treble damages.
- D. It is the City's policy to make this document available to the public on its Internet site and/or upon request. Some or all of the information provided on this EDS and any attachments to this EDS may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. By completing

and signing this EDS, the Disclosing Party waives and releases any possible rights or claims which it may have against the City in connection with the public release of information contained in this EDS and also authorizes the City to verify the accuracy of any information submitted in this EDS.

- E. The information provided in this EDS must be kept current. In the event of changes, the Disclosing Party must supplement this EDS up to the time the City takes action on the Matter. If the Matter is a contract being handled by the City's Department of Procurement Services, the Disclosing Party must update this EDS as the contract requires. NOTE: With respect to Matters subject to Article I of [Chapter 1-23 of the Municipal Code](#) (imposing PERMANENT INELIGIBILITY for certain specified offenses), the information provided herein regarding eligibility must be kept current for a longer period, as required by [Chapter 1-23](#) and [Section 2-154-020 of the Municipal Code](#).

I acknowledge and consent to the above

The Disclosing Party represents and warrants that:

F.1. The Disclosing Party is not delinquent in the payment of any tax administered by the Illinois Department of Revenue, nor are the Disclosing Party or its [Affiliated Entities](#) delinquent in paying any fine, fee, tax or other charge owed to the City. This includes, but is not limited to, all water charges, sewer charges, license fees, parking tickets, property taxes or sales taxes.

I certify the above to be true

F.2 If the Disclosing Party is the Applicant, the Disclosing Party and its [Affiliated Entities](#) will not use, nor permit their subcontractors to use, any facility listed by the U.S. E.P.A. on the federal [Excluded Parties List System \("EPLS"\)](#) maintained by the U.S. General Services Administration.

I certify the above to be true

F.3 If the Disclosing Party is the Applicant, the Disclosing Party will obtain from any contractors/subcontractors hired or to be hired in connection with the Matter certifications equal in form and substance to those in F.1. and F.2. above and will not, without the prior written consent of the City, use any such contractor/subcontractor that does not provide such certifications or that the Disclosing Party has reason to believe has not provided or cannot provide truthful certifications.

I certify the above to be true

FAMILIAL RELATIONSHIPS WITH ELECTED CITY OFFICIALS AND DEPARTMENT HEADS

This question is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent. It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

Under [Municipal Code Section 2-154-015](#), the Disclosing Party must disclose whether such Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently has a "familial relationship" with any elected city official or department head. A "familial relationship" exists if, as of the date this EDS is signed, the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof is related to the mayor, any alderman, the city clerk, the city treasurer or any city department head as spouse or domestic partner or as any of the following, whether by blood or adoption: parent, child, brother or sister, aunt or uncle, niece or nephew, grandparent, grandchild, father-in-law, mother-in-law, son-in-law, daughter-in-law, stepfather or stepmother, stepson or stepdaughter, stepbrother or stepsister or half-brother or half-sister.

"Applicable Party" means (1) all corporate officers of the Disclosing Party, if the Disclosing Party is a corporation; all partners of the Disclosing Party, if the Disclosing Party is a general partnership; all general partners and limited partners of the Disclosing Party, if the Disclosing Party is a limited partnership; all managers, managing members and members of the Disclosing Party, if the Disclosing Party is a limited liability company; (2) all principal officers of the Disclosing Party; and (3) any person having more than a 7.5 percent ownership interest in the Disclosing Party. "Principal officers" means the president, chief operating officer, executive director, chief financial officer, treasurer or secretary of a legal entity or any person exercising similar authority.

Does the Disclosing Party or any "Applicable Party" or any Spouse or Domestic Partner thereof currently have a "familial relationship" with an elected city official or department head?

No

APPENDIX B - BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

Pursuant to [Municipal Code Section 2-154-010](#), is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to [Section 2-92-416 of the Municipal Code](#)?

No

ADDITIONAL INFO

Please add any additional explanatory information here. If explanation is longer than 1000 characters, you may add an attachment below. Please note that your EDS, including all attachments, becomes available for public viewing upon contract award. Your attachments will be viewable "as is" without manual redaction by the City. You are responsible for redacting any non-public information from your documents before uploading.

Officers and Board of Trustees attached. Appendix B - Scofflaw/Problem

List of vendor attachments uploaded by City staff

None.

List of attachments uploaded by vendor

Officers of Rush University Medical Center
Appendix B form
2015 Annual Exempt Affidavit
Broad of Trustees 2016

CERTIFICATION

Under penalty of perjury, the person signing below: (1) warrants that he/she is authorized to execute this EDS, and all applicable appendices, on behalf of the Disclosing Party, and (2) warrants that all certifications and statements contained in this EDS, and all applicable appendices, are true, accurate and complete as of the date furnished to the City. Submission of this form constitutes making the oath associated with notarization.

/s/ 03/16/2017
Rachel Smith
Program Coordinator
Rush University Medical Center

This is a printed copy of the Economic Disclosure Statement, the original of which is filed electronically with the City of Chicago. Any alterations must be made electronically, alterations on this printed copy are void and of no effect.



The mission of Rush University Medical Center in Chicago, Illinois, is to provide the very best care for our patients. Our education and research endeavors, community service programs and relationships with other hospitals are dedicated to enhancing excellence in patient care for the diverse communities of the Chicago area now and in the future.

Our institutional leadership is dedicated to that mission. Leadership at Rush is organized as follows:

- Board of Trustees
- Departmental Leadership
- Management
- Medical Staff and Nursing Staff Leadership

Principal Officers

Richard M. Jaffee, Chairman
Susan Crown, Vice Chair
James W. DeYoung, Vice Chair
William M. Goodyear, Vice Chair
Donald G. Lubin, Esq., Vice Chair
Larry Goodman, MD, Chief Executive Officer

General Trustees

James A. Bell	Kip Kirkpatrick
John M. Boler	Frederick A. Krehbiel
John L. Brennan	Sheldon Lavin
Marca Bristo	Aylwin B. Lewis
Carole L. Brown	Susan R. Lichtenstein
Peter C. B. Bynoe*	Pamela Forbes Lieberman
E. David Coolidge III	Donald G. Lubin, Esq.*
Christopher M. Crane	Gary E. McCullough
Susan Crown*	Andrew McKenna Jr.
Robert M. Davis	James S. Metcalf
James W. DeYoung*	Wayne L. Moore*
William A. Downe	Robert S. Morrison
Bruce W. Duncan	Martin H. Nesbitt
Christine A. Edwards*	Michael J. O'Connor
Francesca Maher Edwardson	Abby McCormick O'Neil
Charles L. Evans, PhD	William H. Osborne
Larry Field	Aurie A. Pennick
Robert F. Finke*	Sheila A. Penrose*
William J. Friend	Perry R. Pero
Jorge O. Galante, MD, DMSc	Stephen N. Potter*
Ronald J. Gidwitz	Richard S. Price
H. John Gilbertson	Eric A. Reeves
Sue Ling Gin	Thomas E. Richards
Richard W. Gochnauer	John W. Rogers Jr.*
Larry Goodman, MD*	Jesse H. Ruiz
William M. Goodyear*	John J. Sabl
Sandra P. Guthman*	John F. Sandner
William J. Hagenah*	Gloria Santona, Esq.
William K. Hall*	Charles A. Schrock
Christie Hefner	Carole Browe Segal*
Marcie B. Hemmelstein	Alejandro Silva
Jay L. Henderson*	Carl W. Stern



Marvin J. Herb
John W. Higgins
Ron Huberman
Richard M. Jaffee*
Peter Kasper Jakobsen
John E. Jones
John P. Keller*

Charles A. Tribbett III
Greg Welch
John R. Willis*
Thomas J. Wilson
Robert A. Wislow
Barbara Jil Wu, PhD

Annual Trustees

Connie Busse Ashline*
Matthew F. Bergmann
Bruce W. Dienst
Catherine Dimou, MD
Margaret Faut-Callahan, PhD
Steven Gitelis, MD*
David W. Hines, MD
Jerald W. Hoekstra
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Catherine J. King*
The Rt. Rev. Jeffrey D. Lee
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Michael F. O'Brien
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**CITY OF CHICAGO
ECONOMIC DISCLOSURE STATEMENT AND AFFIDAVIT
APPENDIX B**

BUILDING CODE SCOFFLAW/PROBLEM LANDLORD CERTIFICATION

This Appendix is to be completed only by (a) the Applicant, and (b) any legal entity which has a direct ownership interest in the Applicant exceeding 7.5 percent (an "Owner"). It is not to be completed by any legal entity which has only an indirect ownership interest in the Applicant.

1. Pursuant to Municipal Code Section 2-154-010, is the Applicant or any Owner identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

2. If the Applicant is a legal entity publicly traded on any exchange, is any officer or director of the Applicant identified as a building code scofflaw or problem landlord pursuant to Section 2-92-416 of the Municipal Code?

☐ Yes

☒ No

☐ Not Applicable

3. If yes to (1) or (2) above, please identify below the name of the person or legal entity identified as a building code scofflaw or problem landlord and the address of the building or buildings to which the pertinent code violations apply.

FILLING OUT THIS APPENDIX B CONSTITUTES ACKNOWLEDGMENT AND AGREEMENT THAT THIS APPENDIX B IS INCORPORATED BY REFERENCE INTO, AND MADE A PART OF, THE ASSOCIATED EDS, AND THAT THE REPRESENTATIONS MADE IN THIS APPENDIX B ARE SUBJECT TO THE CERTIFICATION MADE UNDER PENALTY OF PERJURY ON PAGE 12 OF THE ASSOCIATED EDS.

Office of Legal Affairs
Triangle Office Building
1700 W. Van Buren St.
Suite 301
Chicago, IL 60612

Tel: 312.942.6886
Fax: 312.942.4233
Anne_Murphy@rush.edu
www.rush.edu



Anne M. Murphy, J.D.
Rush University Medical Center
*Senior Vice President
and General Counsel*

VIA MESSENGER DELIVERY

January 27, 2015

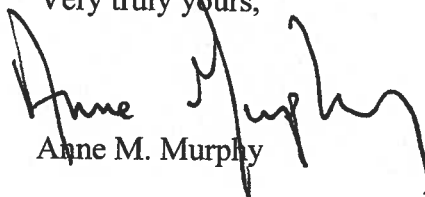
Cook County Assessor's Office
Exempt Department
Annual Exempt Affidavit
118 N. Clark Street, Room 301
Chicago, Illinois 60602

Re: **2015 Annual Exempt Affidavit**

Dear Sir or Madam:

Enclosed please find the 2015 Exempt Property Affidavit for Rush University Medical Center.
If you have any questions, please contact me at your convenience.

Very truly yours,



Anne M. Murphy

Enclosure



COOK COUNTY ASSESSOR'S OFFICE

Joseph Berrios, Assessor

2015 AFFIDAVIT

Agency Number: 5629

Agency Name: RUSH UNIV.MEDICAL CNR

1700 W VANBUREN RM 301, CHICAGO, IL 606125500

I, Anne Murphy, as authorized agent for the agency listed above, have reviewed the Property List on the Cook County Assessor's web site for the agency noted above and affirm that following is true and correct:

1. The agency listed above is the owner of each of the properties on the Property List on the Cook County Assessor's web site, unless indicated as set forth below;
2. If any property has experienced a "change in ownership" (as defined under the Illinois Property Tax Code 35 ILCS 200/1-1 et seq.) since the Illinois Department of Revenue granted the exemption, I have electronically checked the appropriate box on the Property List of the Cook County Assessor's web site and completed an online Exempt Property Information Sheet for each such property;
3. If any property has experienced a "change in use" (as defined under the Illinois Property Tax Code 35 ILCS 200/1-1 et seq.) since the Illinois Department of Revenue granted the exemption, I have electronically checked the appropriate box on the Property List of the Cook County Assessor's web site and completed an online Exempt Property Information Sheet for each such property.
4. If any property has been leased, licensed or is otherwise used by party other than the owner, I have electronically checked the appropriate box on the Property List of the Cook County Assessor's web site. If the property has been leased within the last year I have also electronically checked the appropriate box and completed an online Exempt Property Information Sheet for each property.
5. This Affidavit is given to the Cook County Assessor's Office so that it may maintain the exemptions of the properties on the Property List on the Cook County Assessor's web site.

Further affiant sayeth not.

Subscribed and sworn to before me this

29th day of January, 2015

Maritza Ramsey
NOTARY PUBLIC



Signature: Anne Murphy

Print Name: Anne Murphy

Title: Senior V.P. & General Counsel

Phone: (312) 942-6886

Office Use Only

Reviewed By: _____

Date: _____



COOK COUNTY ASSESSOR'S OFFICE

118 NORTH CLARK STREET, CHICAGO, IL 60602

PHONE: 312.443.7550 WEBSITE: WWW.COOKCOUNTYASSESSOR.COM

EXEMPT PROPERTY INFORMATION SHEET

Permanent Index Number: 13 - 03 - 125 - 025 - 0000

Township: COOK

Property Address: 6141 N. Cicero Avenue

Property City: Chicago, IL 60646

Exempt Owner's Name: Rush University Medical Center

Exempt Owner's Address 1: 1153 W. Congress Parkway

Exempt Owner's Address 2: _____

Exempt Owner's City, State, & Zip: Chicago IL 60612

Exempt Owner's Telephone: (312) 942-6886

Exempt Owner's Contact Name: Anne Murphy

Exempt Owner's Email Address: Anne.Murphy@rush.edu

Basis of Exemption: This property is exempt from property taxes because it is owned by a non-profit hospital

☒ **CHANGE OF OWNERSHIP**

If the property has experienced a change in the ownership, **please submit two copies of documentation showing transfer (i.e. deed, closing statement, etc.) and describe below:**

Property Sold By: Rush University Medical Center

Property Sold To: LVD LLC

Address 1: 33 N. LaSalle St., Suite 2015

Address 2: _____

City, State & Zip: Chicago IL 60602

Telephone: (773) 644-1519

Contact Name: John J. Ferrentino

Email: JJF@fslawus.com

Date of Transfer: 6/6/2014

☐ **CHANGE IN USE**

If there has been any change in the use of this exempt property, please describe the new use:

Date of Change: _____

Exempt Property Information Continues

WARRANTY DEED
ILLINOIS STATUTORY

THE GRANTOR(S),

RUSH UNIVERESITY MEDICAL CENTER d/n/a
RUSH PRESBYTERIAN ST. LUKE'S MEDICAL
CENTER, an Illinois not-for-profit Corporation, of the
City of Chicago County of Cook, State of Illinois, for
and in consideration of TEN & 00/100 DOLLARS
(\$10.00), and other good and valuable consideration in
hand paid,



Doc#: 1416946062 Fee: \$40.00
RHSP Fee: \$9.00 RPPF Fee: \$1.00
Karen A. Yarbrough
Cook County Recorder of Deeds
Date: 06/18/2014 01:48 PM Pg: 1 of 2

CONVEYS and WARRANTS to:

LVD, LLC, an Illinois Limited Liability Company, of the City of Chicago, County of Cook, State of
Illinois, all interest in the following described Real Estate situated in the County of Cook in the State of Illinois, to
wit:

LOTS 12, 13 AND 14 IN BLOCK 3 IN CICERO PETERSON AVENUE ADDITION, BEING
A SUBDIVISION OF PART OF LOTS 3, 4, 8 AND 9 IN OGDEN AND JONES
SUBDIVISION OF BRONSON'S PART OF CALDWELLS RESERVATION PLAT OF
WHICH WAS RECORDED DECEMBER 2, 1924 AS DOCUMENT NUMBER 8691003 IN
TOWNSHIPS 40 AND 41, RANGE 13, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN
COOK COUNTY, ILLINOIS.

SUBJECT TO: covenants, conditions, and restrictions of record; public and utility easements, if any; acts done by
or suffered through Buyer; all special governmental taxes or assessments confirmed and unconfirmed; condominium
declaration and by laws; and general real estate taxes not yet due and payable at the time of Closing.

Hereby releasing and waiving all rights under and by virtue of the Homestead Exemption Laws of the State
of Illinois.

Permanent Real Estate Index Number: 13-03-125-025-0000
Address of Real Estate: 6141 N. CICERO, CHICAGO, IL 60646

DATED this 24th day of May, 2014

Peter v Butler

Rush University Medical Center, d/n/a RUSH
PRESBYTERIAN ST. LUKE'S MEDICAL CENTER
By: Peter Butler
Its: President & Chief Operating Officer

City of Chicago
Dept. of Finance
668670



Real Estate
Transfer
Stamp
\$3,750.00

6/18/2014 13.23
dr00193

Batch 8,279,511

8962595 AY 1 OF 3

STATE OF ILLINOIS

COUNTY OF COOK

)
) SS
)

I, Cynthia L. Irwin, the undersigned, a Notary Public in and for said County, in the aforesaid, DO
HEREBY CERTIFY that Peter Butler, President and Chief Operating Officer of Rush University Medical Center d/b/a
RUSH PRESBYTERIAN ST. LUKE'S MEDICAL CENTER is personally known to me to be the same person whose
name is subscribed to the foregoing instrument, appeared before me this day in person, and acknowledged that he
signed, sealed and delivered the said instrument as a free and voluntary act, for the use and purposes therein set forth,
including the release and waiver of the right of homestead.

Given under my hand and seal this 29th day of May, 2014.

Cynthia L. Irwin
Notary Public



Prepared by: Robert Spadoni
Rush University Medical Center
1700 West Van Buren, Suite 301
Chicago, IL 60612

Mail to:
John Ferrentino, Esq.
33 N. LaSalle St, Ste 2015
Chicago, IL 60602 •

Send Subsequent Tax Bills To:
LVD, LLC
33 North LaSalle, Ste 2015
Chicago, IL 60602

This is for viewing purpose only

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Francesca Maher Edwardson	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A

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Ronald J. Gidwitz	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
H. John Gilbertson	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Larry Goodman, MD	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
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John W. Higgins	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
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Richard M. Jaffee*	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A

P. Kasper Jakobsen	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
John P. Keller*	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Kip Kirkpatrick	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
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Sheldon Lavin	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
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Susan R. Lichtenstein	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Pamela Forbes Lieberman	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Todd W. Lillibridge	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Donald G. Lubin, Esq.*	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Robert A. Mariano	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Gary E. McCullough	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Andrew J. McKenna Jr.	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Paul E. Martin	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
James S. Metcalf	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Wayne L. Moore*	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
William A. Mynatt Jr.	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Martin H. Nesbitt	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Michael J. O'Connor	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A

William H. Osborne	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Aurie A. Pennick	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
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Richard S. Price	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Eric A. Reeves	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Thomas E. Richards	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
John W. Rogers Jr.*	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Jesse H. Ruiz	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
John J. Sabl	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
John F. Sandner	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
E. Scott Santi*	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Gloria Santona, Esq.	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Carole Browe Segal*	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Alejandro Silva	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Jennifer W. Steans	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
Joan E. Steel	Member	(312) 942-5000	1653 W. Congress Parkway, Chicago, IL 60612	N/A
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